

Introduction to Integrability

Lecture Slides, Chapter 8

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8. Quantum Integrability

8.1 R-Matrix Formalism

Recall scattering matrices encountered so far

$$S_{ab}^{cd}(u, v) = \frac{(u-v) \delta_a^c \delta_b^d + i \delta_a^d \delta_b^c}{u-v-i}$$

for many magnon flavours in $SU(N)$ $N \geq 3$ chains, also spinors $\begin{smallmatrix} a, b, c, d \\ \uparrow \\ \downarrow \end{smallmatrix} =$

Here introduce an operator R (R-matrix)

$$R_{ab}^{cd}(u, v) = \frac{(u-v) \delta_a^c \delta_b^d + i \delta_a^d \delta_b^c}{u-v+i}$$

R as tensor op

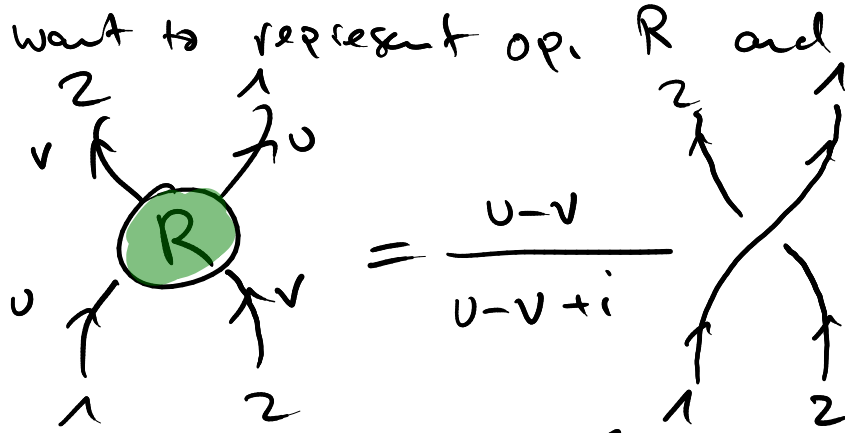
$$R: \mathbb{V} \otimes \mathbb{V} \rightarrow \mathbb{V} \otimes \mathbb{V}$$

$$R = \frac{(u-v) \text{id} + i \text{ex}}{u-v+i}$$

for many sites N_k could use

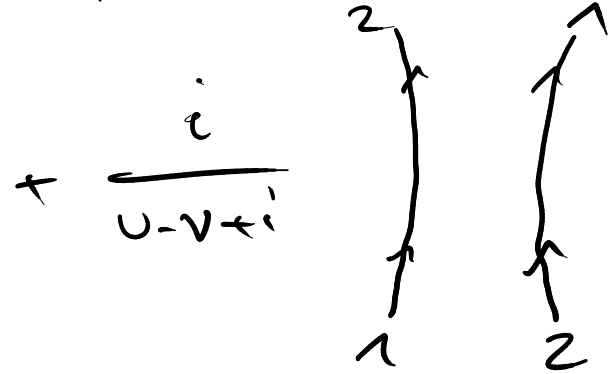
dot not notation $R_{k|e}$

Graphical Representation



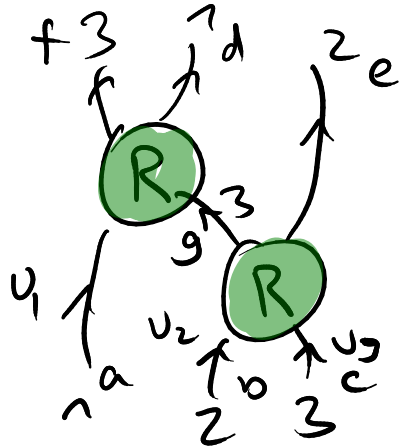
$$= \frac{u-v}{u-v+i}$$

$R_{12} \sim R(u, v) \quad \begin{matrix} u \rightarrow 1 \\ v \rightarrow 2 \end{matrix}$
composition of it in diagrams



composition

$$R_{13} R_{23} =$$



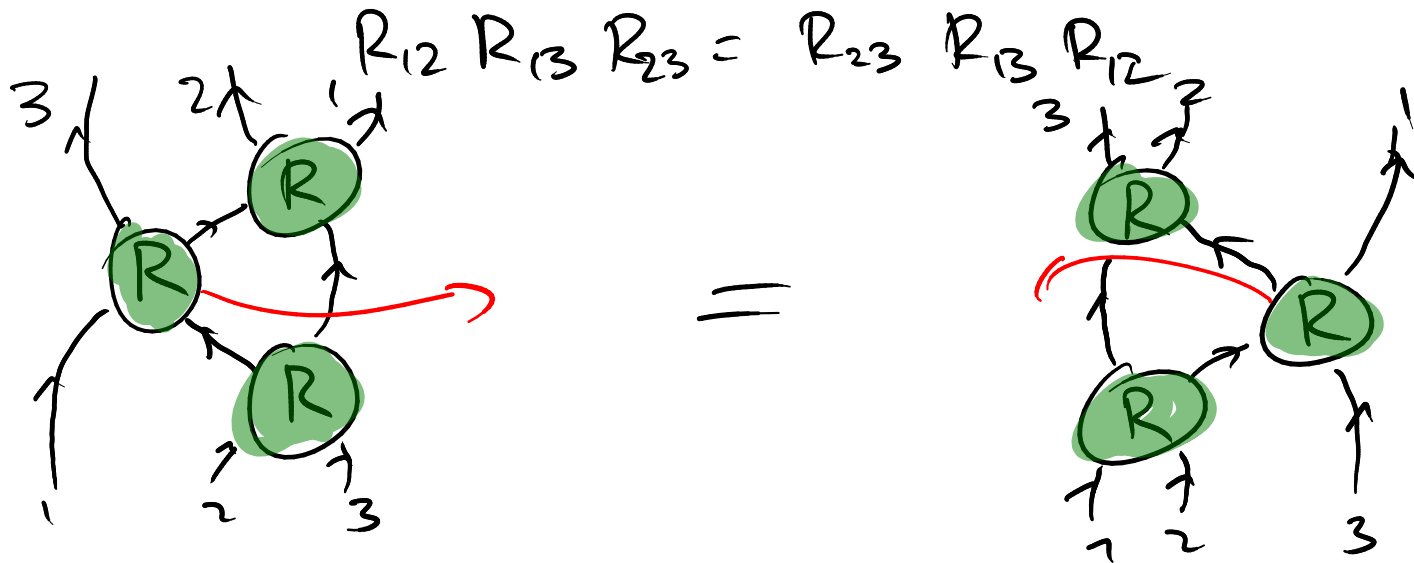
in components:

$$R_{ag}^{df}(u, u_3) R_{bc}^{eg}(u_2, u_3)$$

Properties of R-Matrices

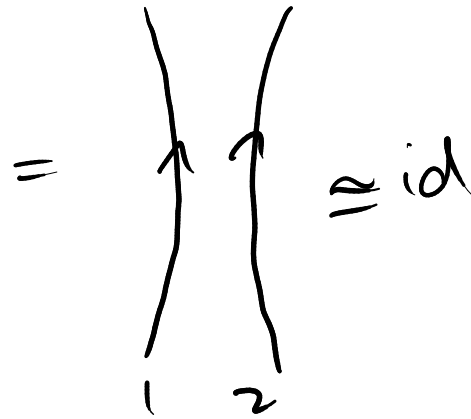
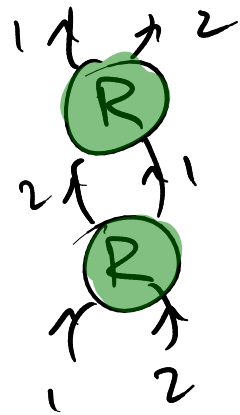
For fact. scattering: Yang-Baxter-Eq.

$$R_{12}(u_1, u_2) R_{13}(u_1, u_3) R_{23}(u_2, u_3) = R_{23}(u_2, u_3) R_{13}(u_1, u_3) R_{12}(u_1, u_2)$$



YBE allows to deform / shift intersect. across lines

Similar property: $R_{21} = (R_{12})^{-1}$ or $R_{21} R_{12} = \text{id}_{12}$



note

$$\begin{aligned}
 R_{21} &:= R_{21}(u_2, u_1) \\
 &= \text{ex}_{12} R_{12}(u_2, u_1) \text{ex}_{12} \\
 &= \dots = (R_{12})^{-1}
 \end{aligned}$$

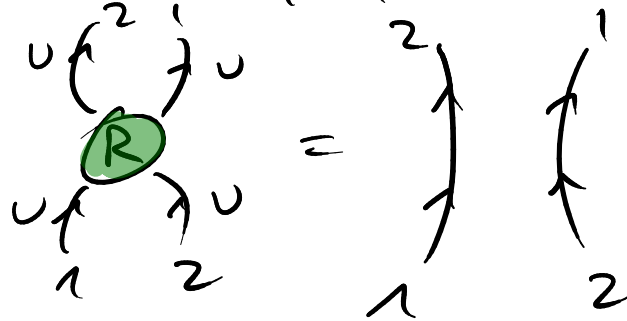
altogether:

$$R_{12} R_{13} R_{23} = R_{23} R_{13} R_{12} \quad \text{and} \quad R_{12} R_{21} = \text{id}.$$

equivalent to permutation group $S_N \leftarrow \# \text{sites}$

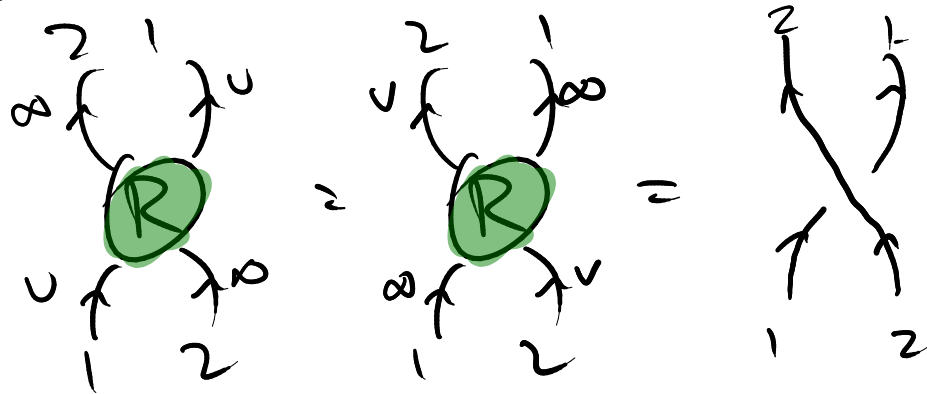
two aux properties related to physics.

$$R(u, u) = ex$$



for scattering: identical particles

for argument $u, v = \infty$ R trivializes $R(u, \infty) = R(\infty, v) = id$



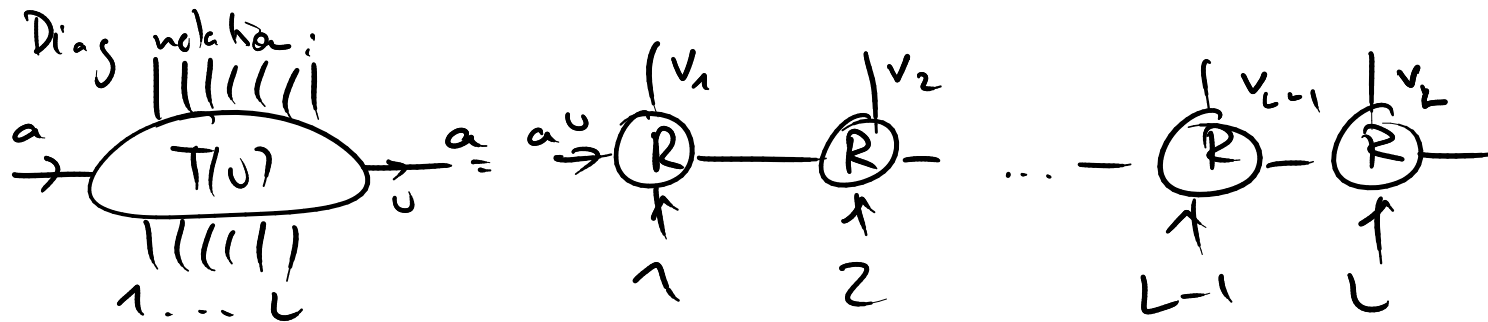
related to
 $SU(N)$ symmetry
 at R .

8.2 Charges

Monodromy and Traces

Closed boundary monodromy matrix $T(u)$ defined as

$$T_a(u) = R_{a,L} \cdot R_{a,L-1} \cdot \dots \cdot R_{a,2} \cdot R_{a,1}$$



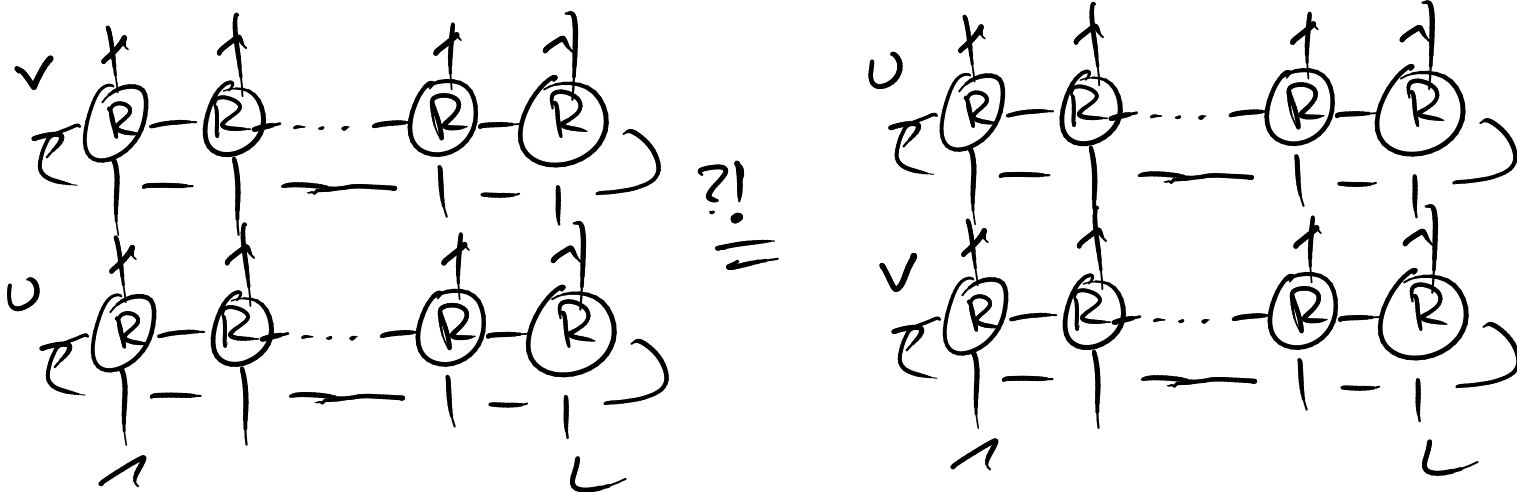
for a hom. spin chain all v_k equal $v_k = 0$.

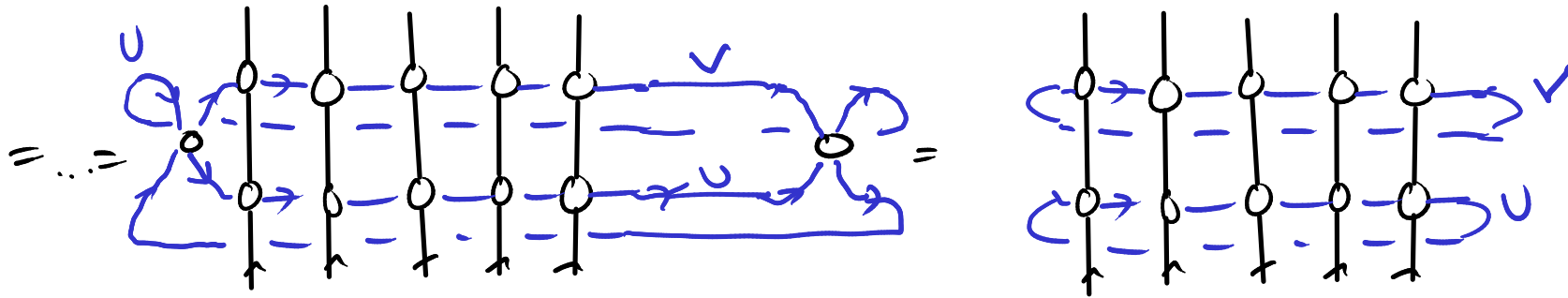
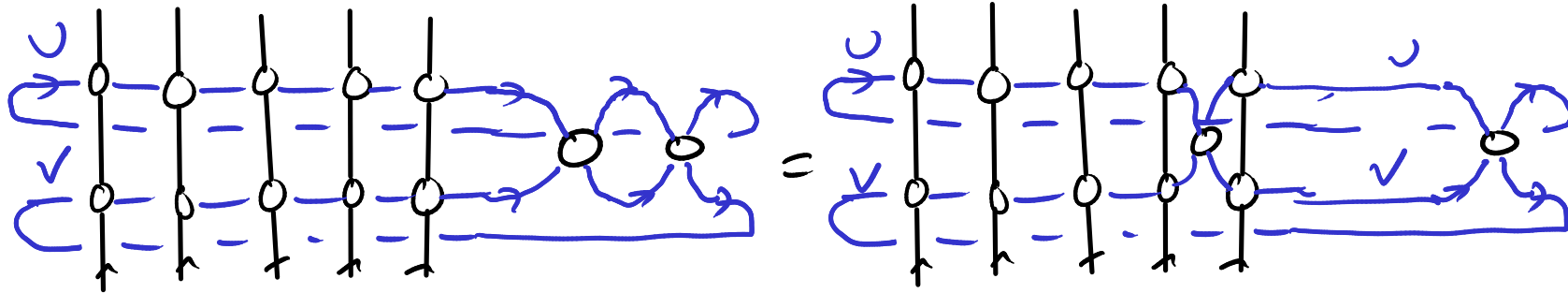
Trace $F(u) = \text{tr}_a T_a(u)$



in class mech : $\{F(u), F(v)\} = 0$

in QM : $[F(u), F(v)] \stackrel{?}{=} 0$



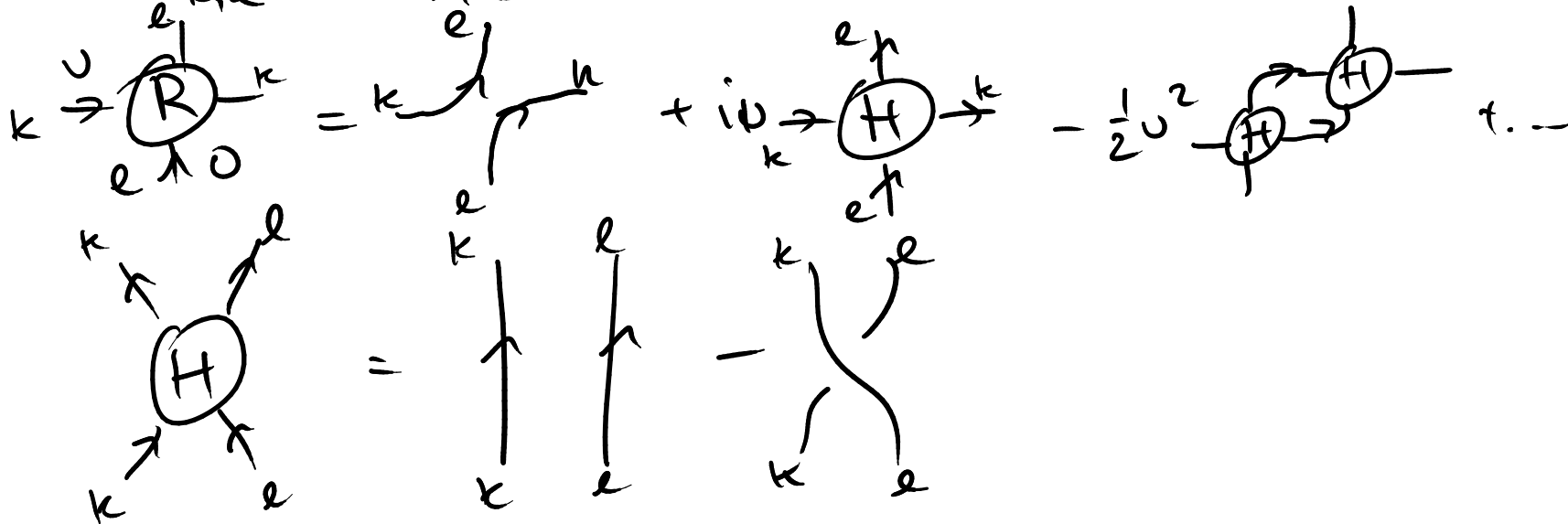


Local Charges

$F(u)$ is non-local operator, contains some local information here: at point $u=0 = v_k$. expand

use $R_{a,j}(u, 0) = e^{\chi_{a,j}} + iu e^{\chi_{a,j}} H_{a,j} - \frac{1}{2} u^2 e^{\chi_{a,j}} H_{a,j}^2 + \dots$

$H_{k,l} = \text{id}_{k,l} - e^{\chi_{kl}}$. kernel of Ham. op.



Expand $F(\omega)$

$$\begin{matrix} | & | & | & | & | \\ \hline F(\omega) \\ \hline | & | & | & | & | \end{matrix} = \left(\begin{matrix} \textcircled{R} & \textcircled{R} & \textcircled{R} & \textcircled{R} & \textcircled{R} \\ | & | & | & | & | \\ - & - & - & - & - \end{matrix} \right)$$

$$= \begin{matrix} \overset{1}{\uparrow} & \overset{2}{\uparrow} & \overset{3}{\uparrow} & \overset{4}{\uparrow} \\ \text{---} & \text{---} & \text{---} & \text{---} \\ \underset{1}{\downarrow} & \underset{2}{\downarrow} & \dots & \underset{L-1}{\downarrow} & \underset{L}{\downarrow} \end{matrix} \leftarrow \begin{matrix} \overset{1}{\uparrow} & \overset{2}{\uparrow} & \dots & \overset{L}{\uparrow} \\ \text{---} & \text{---} & \text{---} & \text{---} \\ \underset{1}{\downarrow} & \dots & \dots & \underset{L-1}{\downarrow} & \underset{L}{\downarrow} \end{matrix}$$

cyclic shift op.
 $\exp(iP)$

$$+ i\omega \sum_{j=1}^L \begin{matrix} \overset{j}{\uparrow} & \overset{j+1}{\uparrow} \\ \text{---} & \text{---} \\ \underset{j}{\downarrow} & \underset{j+1}{\downarrow} \end{matrix} \leftarrow \exp(iP) \text{ in } H$$

$$+ \dots \quad \begin{matrix} | & | & | & | & | \\ \hline H \\ \hline | & | & | & | & | \end{matrix} = \sum_{j=1}^L \begin{matrix} \overset{j}{\uparrow} & \overset{j+1}{\uparrow} \\ \text{---} & \text{---} \\ \underset{j}{\downarrow} & \underset{j+1}{\downarrow} \end{matrix} \leftarrow \text{---} \leftarrow \text{---}$$

$$= \exp(iP) + i\omega \exp(iP) H + \dots = \exp(iP + i\omega H + \dots)$$

at order u^2

$$F(u) = \dots - u^2 \sum_{\substack{j < k=1 \\ |j-k| > 1}}^L \left[\text{diagram 1} \right] - u^2 \sum_{j=1}^L \left[\text{diagram 2} \right] - \frac{1}{2} u^2 \sum_{j=1}^L \left[\text{diagram 3} \right]$$

[almost u^2 term of $\exp(iP \times iuH)$]
 $= \exp(iP \times iuH + iu^2 F_3 + \dots)$

$$= \frac{i}{2} [H_{j+1}, H_j]$$



altogether: $F_2 = H, F_1 \sim P, [F_{r_1}, F_{r_2}] = 0$

Multi-local charges

Consider the (symmetry) part $U = \infty$

$$R_{a_{ij}}(u, 0) = id_{a_{ij}} + iu^{-1} S_{a_{ij}} - \frac{1}{2} u^{-2} S_{a_{ij}}^2 + \dots$$

$$S_{a_{ij}} = ex_{a_{ij}} - id_{a_{ij}} = \begin{array}{c} \uparrow \\ \textcircled{S} \\ \downarrow \end{array} = \begin{array}{c} \downarrow \\ \text{---} \\ \uparrow \end{array} - \begin{array}{c} \uparrow \\ | \\ \downarrow \end{array}$$

$$= \begin{array}{c} \uparrow \\ \textcircled{R} \\ \downarrow \end{array} + \frac{i}{u} \begin{array}{c} \uparrow \\ \textcircled{S} \\ \downarrow \end{array} - \frac{1}{2u^2} \begin{array}{c} \uparrow \\ \textcircled{S^2} \\ \downarrow \end{array}$$

Expand $T(u)$ monodromy

$$a \xrightarrow{u} \begin{array}{c} \uparrow \uparrow \uparrow \uparrow \\ \textcircled{T(u)} \\ \downarrow \downarrow \downarrow \downarrow \end{array} = \begin{array}{c} \downarrow \\ \textcircled{R} \\ \downarrow \end{array} \begin{array}{c} \downarrow \\ \textcircled{R} \\ \downarrow \end{array} \dots \begin{array}{c} \downarrow \\ \textcircled{R} \\ \downarrow \end{array} \begin{array}{c} \downarrow \\ \textcircled{R} \\ \downarrow \end{array}$$

$$= \uparrow \downarrow \uparrow \downarrow \uparrow \downarrow \rightarrow \leftarrow \text{id}$$

$$\leftarrow \frac{i}{u} \sum_{j=1}^L \uparrow \downarrow \uparrow \downarrow \uparrow \downarrow \uparrow \downarrow \rightarrow a \leftarrow \frac{i}{u} J_a \begin{matrix} J_a \text{ total} \\ \text{avg. mom.} \end{matrix}$$

$$- \frac{1}{u^2} \sum_{j^2 \neq 1} \uparrow \downarrow \uparrow \downarrow \uparrow \downarrow \uparrow \downarrow \left. \vphantom{\sum_{j^2 \neq 1}} \right\} \text{almost } -\frac{1}{2u^2} (J_a)^2$$

$$- \frac{1}{2u^2} \sum_{j=1}^L \uparrow \downarrow \uparrow \downarrow \uparrow \downarrow \uparrow \downarrow \uparrow \downarrow \left. \vphantom{\sum_{j=1}^L} \right\} \begin{matrix} \mathcal{Y}_a = \frac{i}{2} \sum_{j^2 \neq 1} \uparrow \downarrow \uparrow \downarrow \uparrow \downarrow \uparrow \downarrow \\ - \frac{i}{2} \sum_{j^2 \neq 1} \uparrow \downarrow \uparrow \downarrow \uparrow \downarrow \uparrow \downarrow \uparrow \downarrow \end{matrix}$$

$$= \exp \left(\frac{i}{u} J_a + \frac{i}{u^2} \mathcal{Y}_a + \dots \right)$$

bi-local operator

commutator of $\{S_{a,j}^\uparrow, S_{a,k}^\downarrow\}$

8.3 Alternative Types of Bethe Ansatz

Algebraic Bethe Ansatz

use monodromy $T_a(u)$ in aux space a acts as 2×2 matrix

$$T(u) = \begin{pmatrix} A(u) & B(u) \\ C(u) & D(u) \end{pmatrix} \quad A, B, C, D \text{ are operators acting on chain (Hilbert space)}$$

check an algebra: RTT-relations (Yang-Baxter eq)

$$R_{ab}(u, v) T_a(u) T_b(v) = T_b(v) T_a(u) R_{ab}(u, v)$$

in a basis $|\uparrow\rangle, |\downarrow\rangle$ and using that R is $so(2)$ invariant

A, D preserve # up/down spins, B flips \downarrow to \uparrow , C flips \uparrow to \downarrow

use as a framework of creation (B), annihilation (C) and charge (A, D) eq
as in QM II / QFT vacuum $|\downarrow \downarrow \dots \downarrow\rangle = |0\rangle$ ^{magnon} states $|u_1 \dots u_M\rangle = B(u_1) \dots B(u_M) |0\rangle$

states $B(u_1) \dots B(u_M) |0\rangle$ are eigenstates of $F(u) = A(u) + D(u)$

eigenvalue is

$$F(u) = \prod_{k=1}^M \frac{u - u_k - i/2}{u - u_k + i/2} = \left(\frac{u}{u+i} \right)^L \prod_{k=1}^M \frac{u - u_k + 3i/2}{u - u_k + i/2}$$

$A(u) \rightarrow D(u)$ + off-diagonal terms which cancel provided that

$$\left(\frac{u+i/2}{u-i/2} \right)^L = \prod_{\substack{l=1 \\ l \neq k}}^M \frac{u_k - u_l + i}{u_k - u_l - i} \quad \text{for all } k=1 \dots M \quad \text{Bethe eq!}$$

expand $F(u) = \exp(iP + iuE + iu^2 F_3 + \dots)$

$$\exp(iP) = \prod_{k=1}^M \frac{u_k + i/2}{u_k - i/2} \quad E = \sum_{k=1}^M \left(\frac{i}{u_k + i/2} - \frac{i}{u_k - i/2} \right) \quad F_3 = \sum_{k=1}^M \left(\frac{i}{2(u_k + i/2)^2} - \frac{i}{2(u_k - i/2)^2} \right)$$

Algebraic Bethe Ansatz for Higher-Rank Chains/Symmetries

Here $su(2)$ $T \equiv \begin{pmatrix} A & B \\ C & D \end{pmatrix}$

$SU(N)$ $T = \begin{pmatrix} A^1 & B^1 & * & * & * \\ C^1 & A^2 & B^2 & * & * \\ * & C^2 & \dots & B^{N-1} & * \\ * & * & & C^{N-1} & A^N \\ * & * & & * & * \end{pmatrix}$ \leftarrow $A^r \sim$ Cartan subalg. el.
 B^r, C^r simple roots (± 1)

all other generators as products of A^r, B^r, C^r .

create magnon quasi-particles from a vacuum $|N N \dots N\rangle = |0\rangle$

by using $B^r |r+1\rangle \rightarrow |r\rangle$ populate all Hilbert space $(\mathbb{C}^N)^L$

eigenstates $|u_x^{(r)} u_e^{(s)} \dots\rangle = B^r(u_e^{(r)}) B^s(u_e^{(s)}) \dots |0\rangle$

Analytic Bethe Ansatz

start with expression

$$F(u) = \prod_{k=1}^M \frac{u - u_k - i/2}{u - u_k + i/2} \sim \left(\frac{u}{u+i} \right)^L \prod_{k=1}^M \frac{u - u_k + 3i/2}{u - u_k + i/2}$$

what does follow? recall $F(u) \sim (R)^L$ $R \sim \frac{u+i}{u+i}$

$$F(u) \sim \frac{P_L(u)}{(u+i)^L} \quad (\text{with } q. \text{ of } \text{ as coefficients})$$

compare this to above $F(u)$: mismatch, add. poles at $u = u_k - i/2$

$$\text{Residues } F(u_k - i/2 + \epsilon) \sim -\frac{i}{\epsilon} \prod_{\substack{e=1 \\ e \neq k}}^M \frac{u_k - u_e - i}{u_k - u_e} + \frac{i}{\epsilon} \left(\frac{u_k - i/2}{u_k + i/2} \right)^L \prod_{\substack{e=1 \\ e \neq k}}^M \frac{u_k - u_e + i}{u_k - u_e}$$

$$\sim -\frac{i}{\epsilon} \prod_{\substack{e=1 \\ e \neq k}}^M \frac{u_k - u_e - i}{u_k - u_e} \left(1 - \left(\frac{u_k - i/2}{u_k + i/2} \right)^L \prod_{\substack{e=1 \\ e \neq k}}^M \frac{u_k - u_e + i}{u_k - u_e - i} \right) \stackrel{!}{=} 0 \quad \text{iff Bethe Eq. hold}$$

Baxter Equation $\tilde{F}(u) := (u+i/2)^L F(u-i/2)$ Polynomial in u

$$\tilde{F}(u) = (u+i/2)^L \prod_{k=1}^M \frac{u-u_k-i}{u-u_k} = (u-i/2)^L \prod_{k=1}^M \frac{u-u_k+i}{u-u_k}$$

introduce polynomial $Q(u) = \prod_{k=1}^M (u-u_k)$ ← poly. of Bethe roots

above eq. for $\tilde{F}(u)$ as

$$\tilde{F}(u) Q(u) = (u+i/2)^L Q(u-i) + (u-i/2)^L Q(u+i)$$

Difference eq.: Baxter eq. for $Q(u)$:

given some $\tilde{F}(u)$: defines 2-dim. space of solutions $Q(u)$

$Q(u)$ are polynomials in u (deg M) only for specific $\tilde{F}(u)$.
 ↑ iff Bethe Eq. hold.