## 5.4 Self-consistency equations for the superconducting gap

The anomalous correlation functions  $d_k$  and the superconducting gap  $\Delta$  are determined from the self-consistency conditions (5.2.5), where the averages are calculated in the quadratic system  $(5.2.6)$  at a finite temperature T.

One of the possible ways to compute the anomalous average  $\langle a_{-k\downarrow}a_{k\uparrow}\rangle$  is to re-express the a operators in terms of the quasiparticles  $\gamma$  and  $\gamma^+$  and then use the equilibrium Fermi occupation numbers for the quasiparticles:

$$
\begin{cases}\n\gamma_{k\uparrow}^{+} = u_{k}a_{k\uparrow}^{+} + v_{k}a_{-k\downarrow} \\
\gamma_{-k\downarrow} = v_{k}^{*}a_{k\uparrow}^{+} - u_{k}^{*}a_{-k\downarrow}\n\end{cases}\n\Rightarrow\n\begin{cases}\na_{k\uparrow}^{+} = u_{k}^{*}\gamma_{k\uparrow}^{+} + v_{k}\gamma_{-k\downarrow} \\
a_{-k\downarrow} = v_{k}^{*}\gamma_{k\uparrow}^{+} - u_{k}\gamma_{-k\downarrow}\n\end{cases}\n(5.4.1)
$$

In terms of the quasiparticles  $\gamma_{k}^+$  $\chi_{k\uparrow}^+$  and  $\gamma_{-k\downarrow}$ , the BCS Hamiltonian is diagonal, so we find

$$
\langle a_{-k\downarrow}a_{k\uparrow}\rangle_T = v_k^* u_k \langle \gamma_{k\uparrow}^+ \gamma_{k\uparrow} - \gamma_{-k\downarrow} \gamma_{-k\downarrow}^+ \rangle_T = v_k^* u_k \left[ 2n_F(\tilde{\varepsilon}_k) - 1 \right] = -v_k^* u_k \tanh\frac{\tilde{\varepsilon}_k}{2T}, \quad (5.4.2)
$$

where  $\tilde{\varepsilon}_k$  is the quasiparticle energy given by Eq. (5.3.4).

Substituting this into Eq. (5.2.7), we find the self-consistency equation for the gap

<span id="page-0-0"></span>
$$
\Delta = \frac{g_0}{\mathcal{V}} \sum_k v_k^* u_k \tanh \frac{\tilde{\varepsilon}_k}{2T} \,. \tag{5.4.3}
$$

Using Eq.  $(5.3.11)$  for  $u_k$  and  $v_k$ , we find

<span id="page-0-1"></span>
$$
v_k^* u_k = \frac{\Delta}{2\tilde{\varepsilon}_k} \,. \tag{5.4.4}
$$

Note that this quantity is significant only in the vicinity of the Fermi surface (since far away form the Fermi surface either  $u_k$  or  $v_k$  tends to zero).

We remark that  $\Delta = 0$  is always a formal solution to the equations  $(5.4.3)$ – $(5.4.4)$ . But one can show that at low temperatures this solution does not correspond to a minimum of a free energy, but to its maximum. In other words, at low temperatures the  $\Delta = 0$ solution is unstable, and the physically relevant solution is a nontrivial one. To find this nontrivial solution, we divide the equation by  $\Delta$  and replace the sum over k by integration over energies:

$$
\frac{1}{\mathcal{V}} \sum_{k} \quad \to \quad \nu_0 \int d\varepsilon \,, \tag{5.4.5}
$$

where  $\nu_0$  is the density of electronic states (for free electrons) per unit volume and per spin projection and  $\varepsilon$  is the free-electron energy. Substituting equation (5.3.4) for  $\tilde{\varepsilon}_k$  and shifting the integration variable to  $\varepsilon = \varepsilon_k - \mu$ , we finally find the self-consistency equation in the closed form √

<span id="page-0-2"></span>
$$
1 = g_0 \nu_0 \int d\varepsilon \frac{\tanh \frac{\sqrt{\varepsilon^2 + |\Delta|^2}}{2T}}{2\sqrt{\varepsilon^2 + |\Delta|^2}}.
$$
 (5.4.6)

This equation, in principle allows to determine  $\Delta$  as a function of temperature (see Fig. [20\)](#page-1-0).

<span id="page-1-0"></span>

Figure 20: A sketch of the gap dependence on the temperature.

## 5.5 Superconducting gap at zero temperature

A subtle point in this calculation is that the integral [\(5.4.6\)](#page-0-2) actually diverges logarithmically at large  $\varepsilon$ . Physically, this divergence is removed by introducing a cut-off at energies of the order of Debye energy  $\omega_D$  (since the attraction mediated by phonons only extends to those energies).

At zero temperature,  $tanh(...) \rightarrow 1$ , and the equation [\(5.4.6\)](#page-0-2) reduces to

<span id="page-1-1"></span>
$$
1 = g_0 \nu_0 \int_0^{\infty} \frac{d\varepsilon}{\sqrt{\varepsilon^2 + \Delta_0^2}} = g_0 \nu_0 \left[ \ln \frac{\omega_D}{\Delta_0} + \text{const} \right],
$$
 (5.5.1)

where const is a constant of order one. This gives the superconducting gap at zero temperature  $\Delta_0$  in the form

$$
\Delta_0 = \text{const } \omega_D \exp\left(-\frac{1}{g_0 \nu_0}\right) \,. \tag{5.5.2}
$$

Note that the gap is exponentially small in  $q_0$ .

## 5.6 Superconducting transition temperature

In a similar way we can find the superconducting transition temperature  $T_c$ , with the only difference that now we neglect  $\Delta$  in the self-consistency equation [\(5.4.6\)](#page-0-2):

<span id="page-1-2"></span>
$$
1 = g_0 \nu_0 \int_0^{\infty} d\varepsilon \frac{\tanh \frac{\varepsilon}{2T_c}}{\varepsilon} = g_0 \nu_0 \left[ \ln \frac{\omega_D}{T_c} + \text{const} \right],
$$
 (5.6.1)

with some const of order one (but different from that in the calculation of  $\Delta_0$  above!). In other words,  $T_c$  is of the same order of magnitude as  $\Delta_0$ .

Remarkably, one can determine the ratio  $T_c/\Delta_0$  without any ambiguity related to the cutoff. Namely, the difference of the integrals [\(5.5.1\)](#page-1-1) and [\(5.6.1\)](#page-1-2) is convergent and does not depend on the cut-off:

$$
0 = \int_0^\infty d\varepsilon \left[ \frac{\tanh \frac{\varepsilon}{2T_c}}{\varepsilon} - \frac{1}{\sqrt{\varepsilon^2 + \Delta_0^2}} \right] = \int_0^\infty dx \left[ \frac{\tanh(x/2)}{x} - \frac{1}{\sqrt{x^2 + (\Delta_0/T_c)^2}} \right].
$$
\n(5.6.2)

From this equation, one finds the *universal* value for the ratio  $T_c/\Delta_0$ :

<span id="page-2-0"></span>
$$
T_c \approx 0.57 \Delta_0 \,. \tag{5.6.3}
$$

[This value is easy to obtain by numerical methods. A more sofisticated analytic calculation gives

$$
T_c = \left(\frac{e^C}{\pi}\right) \Delta_0, \qquad (5.6.4)
$$

where  $C = 0.577...$  is the Euler constant.

The relation [\(5.6.3\)](#page-2-0) is in a remarkably good agreement with experimental values on many conventional superconductors, despite the simplifications made in the BCS theory.