Nonequilibrium transport in density-modulated phases of the second Landau level


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We investigate nonequilibrium transport in the reentrant integer quantum Hall phases of the second Landau level. At high currents, we observe a transition from the reentrant integer quantum Hall phases to classical Hall conduction. Surprisingly, this transition is markedly different for the hole- and electron sides of each spin branch. While the hole bubble phases exhibit a sharp transition to an isotropic compressible phase, the transition for the electron side occurs via an intermediate phase. This might indicate a more complex structure of the bubble phases than currently anticipated, or a breaking of the particle-hole symmetry. Such a symmetry breaking in the second Landau level might also have consequences for the physics at filling factor $\nu = 5/2$.

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I. INTRODUCTION

The properties of the lowest Landau level ($N = 0$) are strongly influenced by fractional quantum Hall (FQH) physics, giving rise to a large number of incompressible ground states with a vanishing longitudinal resistance $R_{xx}$ and a quantized Hall resistance $R_{xy} = \hbar/(\nu e^2)$ at the corresponding filling factors $\nu$. In contrast, the physics of higher Landau levels (LLs), $N \geq 2$, is dominated by density-modulated quantum Hall phases. Close to half filling, highly anisotropic and nonlinear transport properties were found [1–3]. At $\nu \approx 4 + 1/4$ and $\nu \approx 4 + 3/4$, $R_{xx}$ was found to vanish, while $R_{xy}$ was restored to the value of the neighboring integer quantum Hall (IQH) plateau [1–3]. This effect was referred to as reentrant integer quantum Hall (RIQH) effect. Theoretical [4–9] and experimental [1–3,10–13] evidence points towards density-modulated stripe or bubble phases which are responsible for the resistance anisotropy or the RIQH effect. In the second LL ($N = 1$), a competition between IQH states and RIQH states is observed [14–21]. At certain filling factors, theory suggests the existence of two one- or two-electron-bubble and two one- or two-hole-bubble phases, which provide a lower ground-state energy than the FQH states or an isotropic liquid [22,23]. The underlying physics of the IQH states in the second LL, like the $\nu = 5/2$ and $\nu = 12/5$ states, is an open issue under intensive theoretical and experimental investigation [24] and of great interest, due to potential applications in topological quantum computation. Experiments which investigate the RIQH phases of the second LL require very low temperatures and two-dimensional electron gases (2DEGs) of very high mobility. Recently temperature-dependent measurements have revealed the importance of Coulomb interactions for the formation of RIQH states and indicated that a particle-hole asymmetry in the energy scales for the formation of electron and hole bubble phases occurs [17,18]. A particle-hole symmetry breaking might have far-reaching consequences for the physics of the second LL, for example, for the ground-state wave function at $\nu = 5/2$. A deeper understanding of the bubble phases which compete with these FQH states might be necessary to understand the physics of the second LL as a whole.

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We report on magnetotransport measurements of high mobility 2DEGs. We investigate the RIQH states of the second LL in nonequilibrium transport, by driving a finite DC current bias through the system. For large DC currents, RIQH states disappear and the Hall resistance approaches its high-temperature limit, where no density-modulated phases are formed. We denote this phase as the isotropic compressible phase (ICP). Surprisingly, the qualitative form of the transition from RIQH phases to the ICP is different for electron and hole bubble states. While hole bubble states exhibit a sharp transition to the ICP, a gradual transition involving a different intermediate phase is found for the electron bubble states. Qualitatively similar findings were obtained with three different samples, made from different high mobility heterostructures which employ different growth techniques [25]. From these measurements, we extract and compare energy scales for the different RIQH states of the second LL. The local formation of RIQH states has been investigated by measuring transport through a quantum point contact (QPC). Here, signatures of the hole bubble states are completely absent, whereas signatures corresponding to the electron bubble states are most likely a pure bulk effect, while no RIQH phases are formed in the QPC channel. The direction dependence of the breakdown of the RIQH phases with respect to the current orientation has also been investigated. We observe that qualitative features of the transition to the isotropic compressible phase do not depend on the current orientation.

II. EXPERIMENTAL DETAILS

Measurements have been performed on photolithographically defined 500-μm-wide Hall bars, contacted with Au/Ge/Ni Ohmic contacts in a standard four-terminal measurement scheme. An AC current of typically $I_{AC} \approx 0.5$ nA is passed from source to drain and $dV_{xx}/dI_{SD}$ and $dV_{xy}/dI_{SD}$ are measured using lock-in techniques. In nonequilibrium situations, an additional DC current is added to the AC current. Three different high mobility structures have been used for the experiments (wafer A: $n_s \approx 2.2 \times 10^{11}$ cm$^{-2}$, $\mu \approx 1.9 \times 10^7$ cm$^2$/V s without illumination; wafer B: $n_s \approx 2.3 \times 10^{11}$ cm$^{-2}$, $\mu \approx 2.3 \times 10^7$ cm$^2$/V s without illumination; wafer C: $n_s \approx 3.1 \times 10^{11}$ cm$^{-2}$, $\mu \approx 1.8 \times 10^7$ cm$^2$/V s after illumination). Experiments have been conducted in two cryogen-free dilution refrigerators, the first with a bath
FIG. 1. (Color online) (c) Differential longitudinal resistance vs magnetic field and DC current bias for wafer A. Minima, associated with FQH states at $\nu = 7/3$, $8/3$, and $5/2$, show up as black stripes. The RIQH states appear as a minimum in the longitudinal resistance with strong side peaks and span diamond-shaped regions in the $B$ field—$I_{DC}$ plane. At larger $I_{DC}$ biases, negative differential resistance is found (turquoise areas). Cuts in $B$ field and bias direction are shown in (a) and (e). (d) In the differential Hall resistance, RIQH states are visible as diamond-shaped regions, where the Hall resistance tends towards the quantized resistance value of a neighboring IQH plateau. As the current bias is increased, the RIQH states which we denote as $R_3 \uparrow$ [black in (e) and (f)] and $R_4 \uparrow$ [turquoise in (e) and (f)] exhibit a strong decrease of the differential Hall resistance before they disappear in an isotropic background, corresponding to the isotropic compressible phase. In contrast, a sharp transition to the isotropic compressible phase is found for the RIQH state $R_2 \uparrow$ [orange in (e) and (f)]. Traces of the differential longitudinal and Hall resistances in $B$ field and in current bias direction are shown in (b) and (f).

III. RESULTS AND DISCUSSION

In linear transport, only the AC current ($I_{SD} \approx 0.5$ nA) is passed along the long axis of the Hall bar, between the source and drain, and we measure the longitudinal voltage $V_{xx}$ and the Hall voltage $V_{yx}$. Figures 1(a) and 1(b) (blue curves) show the differential longitudinal and Hall resistances, $dV_{xx}/dI_{SD}$ and $dV_{yx}/dI_{SD}$, for filling factors $2 \leq \nu \leq 3$. The longitudinal resistance vanishes for the FQH states at $\nu = 8/3$, $7/3$, and $5/2$, and...
5/2, while the Hall resistance exhibits plateaus at the same magnetic fields.

Turning to nonlinear transport, a DC current from source to drain is added on top of the AC current: \( I_{\text{DC}} = I_{\text{AC}} + I_{\text{DC}} \). Traces of the differential longitudinal and Hall resistance for finite DC currents are also shown in Figs. 1(a) and 1(b). While the IQH states or the isotropic compressible phase show a weak dependence on DC current, the differential resistance depends strongly on DC current close to the RIQH states. Figure 1(c) shows in color scale the differential longitudinal resistance as a function of the magnetic field \( B \) and the DC current \( I_{\text{DC}} \). The FQH states at \( \nu = 8/3 \), 7/3, and 5/2 show up as black stripes at a constant magnetic field. When the differential Hall resistance is plotted as a function of the magnetic field \( B \) and the DC current \( I_{\text{DC}} \) [Fig. 1(d)], RIQH states with a quantized differential resistance of \( h/(2e^2) \) or \( h/(3e^2) \) show up as red or blue diamond-shaped regions. We denote the RIQH states in the upper spin branch \( (3 < \nu < 4) \) by \( R1_\downarrow \rightarrow R4_\downarrow \) and in the lower spin branch \( (2 < \nu < 3) \) by \( R1_\uparrow \rightarrow R4_\uparrow \) [see Fig. 1(d)]. The RIQH state \( R1_\uparrow \) is not observed in the measurement of Fig. 1(d), which is a first indication for the different relevant energy scales for the RIQH states. We find \( R1_\uparrow \) to be the most fragile state, only being observed in some of our measurements. On the high magnetic field side of \( \nu = 5/2 \), the RIQH diamonds are neighbored in the \( I_{\text{DC}} \) direction by strong side peaks of smaller differential Hall resistance. We denote this regime as intermediate current bias phase. The regions of a differential Hall resistance of \( h/(2e^2) \) (states \( R3_\uparrow \) and \( R4_\uparrow \)) or \( h/(3e^2) \) (state \( R2_\uparrow \)) are defined by the inner or outer boundaries of resistance peaks in \( dV_{xy}/dI_{\text{SD}} \) [Fig. 1(d)]

The DC current dependence of \( dV_{xx}/dI_{\text{SD}} \) and \( dV_{xy}/dI_{\text{SD}} \) is shown in Figs. 1(e) and 1(f). Here, the quantity \( dV_{xy}/dI_{\text{SD}} \) shows a different behavior for the RIQH phases on both sides of the \( \nu = 5/2 \) state. For the RIQH state \( R2_\uparrow \) [indicated in Fig. 1(d)], \( dV_{xy}/dI_{\text{SD}} \) increases sharply close to the classical background value, with only slight overshots when the RIQH state is destroyed. In contrast, for the RIQH state \( R3_\uparrow \), \( dV_{xy}/dI_{\text{SD}} \) shows very pronounced undershoots, before it reaches the classical background value. The RIQH state \( R4_\uparrow \) even shows a more complicated current dependence, where \( dV_{xy}/dI_{\text{SD}} \) first undershoots and then overshoots, before it reaches the classical background value. Similar results have been obtained from measurements with other 2DEGs and subsequent cooldowns [see Fig. 2(a) and Supplemental Material at Ref. [27]]. In contrast to transport in higher LLs [28], the qualitative breakdown signatures of the different RIQH states in the second LL are independent of the crystal orientation of the sample and of the magnetic field direction.

A. Phase diagram of reentrant integer quantum Hall phases

The critical current for which a RIQH state disappears is defined by the condition that the amplitude of the RIQH peak or dip in the differential Hall resistance has reached 30% of its maximum amplitude (i.e., 30% of the difference between the classical Hall background resistance and the quantized resistance of the neighboring IQH plateau). The same threshold, but with different sign, is used for the intermediate current bias phase of the RIQH states \( R3_\downarrow \), \( R4_\downarrow \), \( R3_\uparrow \), and \( R4_\uparrow \). Following these considerations, we define a critical Hall voltage via \( V_{\text{H, crit}} = I_{\text{DC, crit}} \times V_{\text{xy, quant}} \). Here, \( V_{\text{xy, quant}} = h/(ve^2) \) is the quantized Hall resistance, corresponding to the RIQH state. The resulting critical Hall voltage is plotted as a function of the filling factor in Fig. 2 for measurement with wafer B.

The critical Hall voltage of the RIQH states \( R2_\downarrow/\uparrow \) and \( R4_\downarrow/\uparrow \) is larger than for \( R1_\downarrow/\uparrow \) and \( R3_\downarrow/\uparrow \). In contrast to
FIG. 3. (Color online) (a) Schematic scenario for a continuous transition to the isotropic compressible phase with nonquantized filling factors $\nu_1$ and $\nu_2$. (b) Differential Hall resistance for the RIQH states $R2^\uparrow$ and $R3^\uparrow$ vs the DC current (wafer C). At $I_{DC} > 20$ nA, the differential Hall resistance is approximately constant. (c),(d) DC Hall voltage vs the DC current. At $I_{DC} > 20$ nA, the differential Hall resistance is approximately constant. (c),(d) DC Hall voltage vs the DC current. Here, a linear slope corresponding to $V_{xy} = \frac{h}{3}e^2 I_{DC}$ (c) or $V_{xy} = \frac{h}{2}e^2 I_{DC}$ (d) has been subtracted. (e),(f) DC longitudinal voltage $V_{xx,DC}$ vs the DC current. For the RIQH state $R2^\uparrow$, jumps in the DC voltage [marked by red arrows] are observed.

B. Transition from RIQH phase to isotropic compressible phase

The transition from a RIQH phase to the ICP occurs in a qualitatively different way for the RIQH states at both sides of $\nu = 5/2$ and $\nu = 7/2$. The critical current exhibits a nonmonotonic behavior. A similar nonmonotonic behavior has previously been observed for the critical temperatures $T_c$ at which the RIQH states start to form [17,29] and gives evidence for a particle-hole asymmetry in the corresponding energy scales.

In the limit of large currents, the critical Hall voltage does increase monotonically with decreasing filling factor over $\nu = 7/2$ and $\nu = 5/2$. The critical current exhibits a nonmonotonic behavior. A similar nonmonotonic behavior has previously been observed for the critical temperatures $T_c$ at which the RIQH states start to form [17,29] and gives evidence for a particle-hole asymmetry in the corresponding energy scales.

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In the limit of large currents, the RIQH phase no longer exists, and a background Hall resistance, which is determined by the corresponding filling factor, is found [grey dashed lines in Fig. 3(a)]. Thus, the curve $V_{xy}(I_{DC})$ is expected to possess a slope of $h/\nu e^2$ for large DC currents, where $\nu \approx 2.45$ or $2.30$ for the RIQH states $R2^\uparrow$ and $R3^\uparrow$ and $\nu \approx 2.71$ or $2.58$ for $R1^\uparrow$ and $R2^\uparrow$. In between the low- and high-current regime, a continuous transition occurs, while all the linear slopes discussed before are expected to interpolate to the origin of the diagram. In order to satisfy these conditions, an intermediate regime with a smaller slope of $V_{xy}(I_{DC})$ (hence smaller differential Hall resistance) is expected for the transition from $\nu = 2$ to the isotropic liquid. In contrast, for the transition from $\nu = 3$, we expect an intermediate regime with a larger slope in $V_{xy}$ vs $I_{DC}$. In the differential resistance, this would be visible as pronounced undershoots of the differential resistance for the RIQH states $R3^\uparrow$ and $R4^\uparrow$, while strong overshoots should be visible for $R1^\uparrow$ and $R2^\uparrow$. The expected $V_{xy}(I_{DC})$ for such a continuous transition are shown schematically as black lines in Fig. 3(a), where $\nu_1$ and $\nu_2$ denote the nonquantized “background” filling factors.

The measured transition from RIQH phases to the ICP can be compared to this expectation. A measured differential Hall resistance is plotted vs the DC current in Fig. 3(b). As the DC
FIG. 4. (Color online) (a) Differential bulk Hall resistance as a function of the DC current. The RIQH states $R_1^{\uparrow}$–$R_4^{\uparrow}$ are clearly visible. (b) Differential Hall resistance at $I_{DC} = 0$. The RIQH states $R_1^{\uparrow}$ and $R_2^{\uparrow}$ are no longer visible (d), whereas $R_3^{\uparrow}$ and $R_4^{\uparrow}$ are even more pronounced than in the bulk. (c) Schematic density distribution in the QPC and the bulk for the different RIQH states.

current is increased at the magnetic field values corresponding to the RIQH states $R_2^{\uparrow}$ and $R_3^{\uparrow}$, a transition to an isotropic compressible background occurs for DC currents smaller than 20 nA and the differential Hall resistance is constant at higher currents [Fig. 3(b)]. The measured DC Hall and longitudinal voltages, $V_{xy,DC}$ and $V_{xx,DC}$ are shown in Figs. 3(c)–3(f). For better visibility, a linear slope corresponding to $V_{xy} = \frac{1}{2}h/e^2 \times I_{DC}$ or $V_{xx} = \frac{1}{2}h/e^2 \times I_{DC}$ has been subtracted from the DC Hall voltage. After this subtraction, the RIQH phases are seen as plateaus at $\Delta V_{xy,DC} = 0$. Dashed lines are fitted to the linear parts of the Hall voltage (for $|I_{DC}| < 150$ nA). For the RIQH state $R_2^{\uparrow}$, the DC Hall voltage abruptly deviates from the quantized slope, when increasing the DC current above a critical value of $\approx 10$ nA [Fig. 3(c)]. At the same time, sharp jumps are observed in the longitudinal DC voltage $V_{xx,DC}$ [marked by red arrow in Fig. 3(e)]. Above the critical current, $\Delta V_{xy,DC}$ in Fig. 3(c) increases monotonically until it reaches the slope corresponding to the ICP, similar to the current-voltage characteristics of a gapped superconductor. In contrast, $\Delta V_{xy,DC}$ changes smoothly for the RIQH state $R_3^{\uparrow}$ and jumps in $V_{xx,DC}$ are absent. As the DC current is increased, overshoots below or above the linear regime of $\Delta V_{xy,DC}$ [marked by green arrows in Fig. 3(d)] are observed. Similar observations have been made in several samples. While our observations for the RIQH states $R_3^{\uparrow}$ and $R_4^{\uparrow}$ (and $R_3^{\downarrow}$, $R_4^{\downarrow}$) resemble the scenario for a continuous transition to the ICP as depicted in Fig. 3(a), the sharp transition for the RIQH states $R_1^{\uparrow}$ and $R_2^{\uparrow}$ (and $R_1^{\downarrow}$, $R_2^{\downarrow}$) might be interpreted as a depinning of the hole bubbles, or a mechanism involving a jump of the Fermi energy across an energy gap. These two different observations might also be explained by different routes for a two-dimensional melting transition, either by a first-order phase transition or by two continuous transitions via an intermediate phase [30–34]. Measurements of wafer A in a van der Pauw geometry at a bath temperature of approximately 3.5 mK indicate the formation of an intermediate current bias phase, for both electron and hole bubbles (see Sec. III D). However, also here the intermediate current bias phase is more pronounced for the RIQH states $R_3^{\uparrow}$ and $R_4^{\uparrow}$. This might indicate that the different behavior of electron and hole bubble phases is governed by different energy scales.

C. Reentrant integer quantum Hall phases in a QPC

More information about the local formation of RIQH states can be obtained from investigating a constriction defined by a QPC. Figures 4(a) and 4(b) show the differential Hall resistance, measured in the bulk of a high mobility Hall bar. As
before, $R_1^\uparrow$ and $R_2^\uparrow$ show a sharp threshold to an isotropic compressible phase, while a transition via an intermediate phase is observed for $R_3^\uparrow$ and $R_4^\uparrow$. Now we turn to a situation where a 1.1-$\mu$m-wide QPC, fabricated on top of the 2DEG, is defined by applying negative voltages to the top gates ($V_{\text{QPC}} = -2.3$ V). We measure the voltage $V_{\text{diag}}$ diagonally across the QPC, from which an effective filling factor of the QPC, $\nu_{\text{QPC}}$, is obtained via $R_{\text{diag}} = \frac{h}{e^2\nu_{\text{QPC}}}$ [35]. The system is tuned to a weak backscattering situation, where bulk and QPC densities are identical, which can be seen from the overlap of the IQH plateaus in $R_{xy}$ and $R_{\text{diag}}$ (see Ref. [36]). Figures 4(c) and 4(d) show the differential diagonal resistance for this situation. While the IQH plateaus are still clearly visible [Fig. 4(d)], FQH states can no longer be clearly identified. The reason for this is weak quasiparticle tunneling which destroys the FQH plateaus and gives rise to a power-law tunneling conductance between counterpropagating edge states (see Ref. [36]). In this situation, the RIQH states $R_1^\uparrow$ and $R_2^\uparrow$ have vanished and are no longer seen in the diagonal resistance. In contrast, $R_3^\uparrow$ and $R_4^\uparrow$ are even more pronounced than in the bulk. The regions corresponding to a quantization at $h/(2e^2)$ have a larger extent in the $I_{\text{DC}}$ direction than in the bulk.

The observation of the RIQH states $R_3^\uparrow$ and $R_4^\uparrow$ in the diagonal resistance however does not imply that they are formed in the QPC constriction. The relation $R_{\text{diag}} = \frac{h}{e^2\nu_{\text{QPC}}}$ is only valid if $\nu_{\text{QPC}} \leq \nu_{\text{bulk}}$, which is not necessarily the case for the density-modulated bubble phases. In this case, the following relation holds: $R_{\text{diag}} = \frac{h}{e^2\min(\nu_{\text{QPC}}, \nu_{\text{bulk}})}$. A schematic picture of

![FIG. 5. (Color online) Differential longitudinal resistance for different directions of DC and AC currents. The respective contact configurations and current directions relative to the crystal orientation are shown schematically as insets. Measurements where AC and DC currents flow in the $x$ direction (a) or the $y$ direction (b) show similar energy scales for the transition to an isotropic background.](image)
this situation is shown in Fig. 4(e). For the RIQH states $R_1\uparrow$ and $R_2\uparrow$, the innermost edge state (corresponding to $\nu = 3$) is partially backscattered at the QPC and hence the quantization is lost, even if the RIQH states persist in the bulk. For the RIQH states $R_3\uparrow$ and $R_4\uparrow$, however, the innermost edge state of the bulk corresponds to $\nu = 2$. If a compressible region is formed in the QPC, it has a larger filling factor than 2, and hence the $\nu = 2$ edge state is protected from backscattering.

Hence, the quantized value of $dV_{\text{diag}}/dI_{\text{DC}} = h/(2e^2)$ can be interpreted as a bulk signature, where $v_{\text{bulk}} = 2$ describes the bulk transport properties and where a compressible phase is formed in the QPC, leading to $v_{\text{QPC}} > 2$.

We conclude that most likely no density-modulated phases persist in the QPC channel. The different characteristics of the RIQH states $R_3\uparrow$ and $R_4\uparrow$ when measured in the bulk and across a QPC might result from a density gradient close to the QPC or from a variation in the current distribution and hence a partial local Hall voltage drop in the center of the QPC channel.

**D. Orientation dependence of transport in the RIQH regime**

To investigate the orientation dependence of the transport in the RIQH regime, we have measured a square van der Pauw geometry of wafer A with eight Au/Ge/Ni Ohmic contacts (see schematic inset Figs. 5 and 6). The 750-μm x 750-μm square van der Pauw mesas and contacts have been defined by optical lithography, to ensure a perfect square geometry and alignment with the crystal directions.
FIG. 7. (Color online) Differential Hall (a) and longitudinal resistance (b) for the RIQH state \( R_4 \uparrow \) and the current orientation depicted schematically in the inset.

In contrast to stripe phases in higher LLs, we expect the bubble phases of the second LL to be isotropic. However, it was shown that a large DC current can induce anisotropies in density-modulated phases which are isotropic at small currents [28]. Figures 5 and 6 show the differential longitudinal and Hall resistances for different orientations of the AC and DC current as a function of magnetic field and DC current. The insets show schematically the current configurations and the contact configuration used for each measurement. For parallel AC and DC currents, breakdown signatures of the RIQH states of the second LL are qualitatively similar [Figs. 6(a) and 6(b)] and critical currents are of comparable magnitude. However, driving the DC current perpendicular to the AC current changes the shape of the RIQH phases. The most distinct change is observed for the RIQH state \( R_4 \uparrow \) (see Figs. 5 and 6 and a closeup in Fig. 7). Here, the original diamond-shaped RIQH phase extends at the high magnetic field side into a larger diamond-shaped region, with a differential Hall resistance close to \( \frac{h}{2e} \) [see Fig. 7(a)], which prevails up to large currents of approximately 90 nA. This region is not observed for transport in perpendicular direction [Figs. 5(d) and 6(d)] and thus might arise due to current-induced anisotropies.

IV. CONCLUSION

Our observations suggest that either the particle-hole symmetry is broken for the density-modulated phases in the second Landau level, or that the bubble phases are of a more complex structure than currently anticipated. A symmetry breaking has a strong influence on the properties of the density-modulated phases and might also have an important impact on the physics of the FQH states in the second LL, like the \( \nu = \frac{5}{2} \) FQH state.

Note added. During the preparation of the paper, a preprint by Rossokhaty et al. appeared, which discusses the high-current breakdown of the RIQH phases [37].

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