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Transport properties of clean quantum point contacts

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Abstract. Quantum point contacts are fundamental building blocks for mesoscopic transport experiments and play an important role in recent interference and fractional quantum Hall experiments. However, it is unclear how electron–electron interactions and the random disorder potential influence the confinement potential and give rise to phenomena such as the mysterious 0.7 anomaly. Novel growth techniques of $Al_xGa_{1-x}As$ heterostructures for highmobility two-dimensional electron gases enable us to investigate quantum point contacts with a strongly suppressed disorder potential. These clean quantum point contacts indeed show transport features that are obscured by disorder in standard samples. From these transport data, we are able to extract those parameters of the confinement potential that describe its shape in the longitudinal and transverse directions. Knowing the shape (and hence the slope) of the confinement potential might be crucial for predicting which interaction-induced states can best form in quantum point contacts.

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1. Introduction

Quantum devices on semiconductor nanostructures rely on quantum point contacts (QPCs) as the basic building blocks. Quantized conductance was observed early on [1, 2] and has been used as a signature of the quality of a quantum point contact QPC. With ever improving sample quality and the methodology for the detection of non-Abelian anyons in the $\nu = 5/2$ fractional quantum Hall state, several experiments [3–5] have recently used the properties of QPCs fabricated on ultra-high-mobility two-dimensional electron gases (2DEGs). In view of proposals to investigate fractional quantum Hall states in confined geometries and interferometer-like setups, a detailed understanding and the control of QPCs are essential. Here we present experimental data that go beyond previously published data by demonstrating experiments that profit from the extraordinary cleanliness of the high-mobility 2DEG. In contrast to standard 2DEGs, we do not observe defect-induced resonances when the QPCs are shifted laterally. Higher-order half-plateaus are observed in the finite-bias differential conductance (at a magnetic field $B_{\perp} = 0$ T), as well as spin-split half-plateaus at $B_{\perp} = 2$ T. Finally, the 0.7 anomaly is investigated as a function of temperature and in perpendicular magnetic field.

2. Experimental details

The samples are fabricated on a high-mobility wafer with a 2DEG residing $z=160\,\mathrm{nm}$ beneath the surface. The high mobility is achieved by placing Si dopants in a narrow GaAs layer sandwiched by AlAs layers [6–8]. The population of the X band in AlAs results in hardly mobile electrons, which screen the static disorder potential but do not cause a measurable parallel conductance. Optical lithography is employed to define Hall bars via mesa etch and deposition of Au/Ge Ohmic contacts. Processed Hall bars have an electron density of $n_{\rm S}=3.5\times10^{15}\,\mathrm{m}^{-2}$ and Drude mobilities in the range of $\mu=1000-2000\,\mathrm{m}^2$ (Vs) $^{-1}$. The characterization as well as subsequent experiments are carried out at a temperature of $T=1.3\,\mathrm{K}$ if not stated otherwise. Schottky electrodes are defined via electron beam lithography and the subsequent deposition of Ti/Au. AFM micrographs of two QPCs are shown in the insets of figures 1(a) and (b). The gates appear bright with the gap between them being $w=200\,\mathrm{nm}$ (a) and $w=500\,\mathrm{nm}$ (b). Applying a voltage of $V_{\rm G}\lesssim-1.1\,\mathrm{V}$ to the gates depletes the underlying 2DEG and creates a constriction between source and drain. The source–drain current $I_{\rm SD}$ and the voltage drop across the QPC

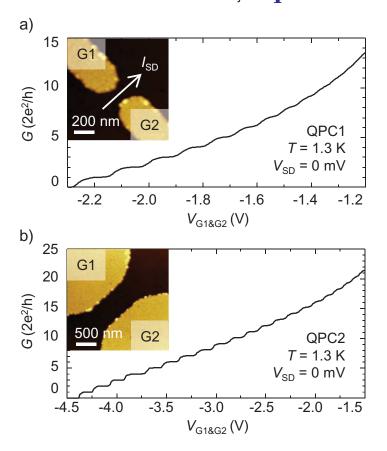


Figure 1. (a) Inset: atomic force micrograph of the sample surface. The two Schottky gates appear bright; the GaAs surface appears dark. The distance between the gates is $w=200\,\mathrm{nm}$. When the gates are negatively biased, free electrons reside only in the electron gas underneath the dark areas. Main graph: differential conductance of QPC1, measured as a function of the voltage applied to gates G1 and G2. Quantized conductance in multiples of $G=2\,e^2/h$ indicates the formation of discrete subbands between the tips of the gates. (b) Differential conductance of QPC2, which is $w=500\,\mathrm{nm}$ wide and $l\approx 1\,\mu\mathrm{m}$ long.

 $V_{\rm D}$ are measured in four-terminal configuration while applying a small lock in amplitude of $V_{\rm ac}=100\,\mu{\rm V}$ at a frequency of $f_{\rm ac}=33\,{\rm Hz}$ to the source and drain. A dc source–drain voltage $V_{\rm SD}$ can be added to $V_{\rm ac}$ with both voltages being applied symmetrically with respect to the common reference potential of the source, drain and gates. Most transport properties of the employed high-mobility heterostructures are hysteretic as a function of gate bias [9]. Therefore all traces are recorded in the same sweep direction by sweeping towards more negative values of gate voltage.

Figure 1(a) shows the differential conductance $G = \mathrm{d}I_{\mathrm{SD}}/\mathrm{d}V_{\mathrm{D}}$ ($V_{\mathrm{SD}} = 0\,\mathrm{mV}$) of QPC1, plotted as a function of the voltage applied to gates G1 and G2. From the Fermi wavelength of the 2DEG $\lambda_{\mathrm{F}} = \sqrt{2\pi/n_{\mathrm{S}}} = 42\,\mathrm{nm}$ and the distance of the gates, it would be expected that $n \approx w/(\lambda_{\mathrm{F}}/2) = 9$ –10 modes can be observed due to confinement transverse to the electron flow [2]. Indeed, the number of quantized plateaus in figure 1(a) agrees with this estimation, indicating that the largest electronic width of the QPC matches the lithographic gap of the

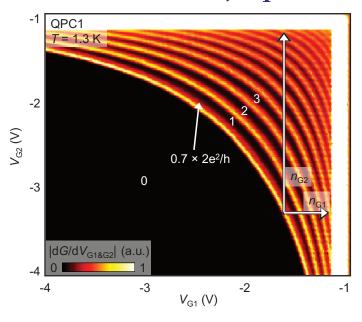


Figure 2. Transconductance $G_{TC} = dG/dV_{G1\&G2}$ of QPC1, plotted in false colors as a function of the voltages applied to gates G1 and G2. Integer conductance values of $G = 0, 1, 2, 3, ... \times 2 e^2/h$ result in $G_{TC} = 0$ (black), steps in-between integer conductance values appear bright. A faint red stripe with $G \approx 0.7 \times 2 e^2/h$ indicates the presence of a 0.7 anomaly in the QPC. The strong increase of the conductance at the right and top border (white) marks the gate pinchoff, where the 2DEG underneath gates G1 (right) and G2 (top) starts to be depleted. If both gates are biased identically, 12–13 plateaus are observed between QPC pinch-off and gate pinch-off. When the ratio of gate-voltages is varied by following the lowest transconductance-stripe, the number of plateaus in dependence of either V_{G1} or V_{G2} can be varied, indicating that the QPC is shifted laterally between the gates. Scattering centers in-between the gates would appear as straight lines with the slope corresponding to their capacitance to gates G1 and G2. No such defects are visible in this scan.

Schottky split-gates. Due to the larger gate-spacing of QPC2, correspondingly more modes are observed in figure 1(b) and a significantly larger gate bias has to be applied in order to pinch off. We observe irreversible charging of the sample typically at $V_{\rm G} \sim -5$ V, which limits the QPCs' range of operation.

2.1. Lateral shifting of the quantum point contact (QPC)

QPC1 can be further characterized by varying the voltages applied to each of the gates, which is not possible for QPC2 due to its extreme pinch-off voltage. Figure 2 shows the transconductance $G_{TC} = dG/dV_{G1\&G2}$ of QPC1 in grayscale, plotted as a function of V_{G1} and V_{G2} . Black areas correspond to pinch-off (bottom left) and successive conductance plateaus (marked by 1, 2, 3). Such a plot reveals scattering centers in the channel, since changing the ratio of gate voltages causes the position of the channel to shift laterally between the gates [10]. The shift can be

approximately determined by counting the number of steps $(n_{\rm G1}, n_{\rm G2})$ that can be observed as a function of each gate [11]: $\Delta y = \lambda_{\rm F}/2 \times (n_{\rm G1} - n_{\rm G2})/2$. In the situation marked by white arrows in figure 2, this amounts to $\Delta y = 21$ nm $\times (9-4)/2 = 53$ nm. The largest observed shift is $\Delta y = \pm 21$ nm $\times (11-2)/2 = \pm 95$ nm, which corresponds to the lithographic distance of the gates. When the QPC is shifted from one gate to the other, its potential would naturally change if localized impurities in the channel or the static disorder potential created by the dopants were relevant. Since these defects are fixed in space, they should appear as lines intersecting the QPC steps, with their slope given by the capacitance to gates G1 and G2. The absence of such defect-induced lines confirms the cleanliness of the sample and the effectiveness of the screening layers in suppressing the charged dopants' disorder potential.

2.2. The 0.7 anomaly in the perpendicular magnetic field

It is noteworthy that the 0.7 anomaly [12–14], an additional plateau with a conductance of $G \approx 0.7 \times 2 \, e^2/h$, appears as a weak shoulder close to the pinch-off of both QPCs. In the transconductance plot in figure 2, the 0.7 anomaly is visible as an asymmetry of the pinch-off line, giving rise to a gray (red) stripe adjacent to the $G = 1 \times 2e^2/h$ plateau. The 0.7 stripe is continuous and reaches all the way to the extreme QPC shifts, emphasizing that the 0.7 anomaly is an intrinsic property of the QPC. Cuts along the diagonal (where $V_{\rm G1} = V_{\rm G2}$) as well as strongly shifted configurations (either V_{G1} or V_{G2} being fixed at -1.7 V) are shown in figure 3. When the QPC is defined centrally in-between the gates ($V_{\rm G1} = V_{\rm G2}$, leftmost trace), the 0.7 anomaly manifests itself as a weak shoulder below the $G = 2e^2/h$ plateau. The top left inset shows the numerically derived slope $dG/dV_{G1\&G2}$, which exhibits a clear change of slope at the position marked by an arrow. The corresponding conductance at this gate voltage is $G = 0.65 \times 2 e^2/h$. For comparison, two configurations with the QPC being defined close to gate G2 (central trace) or gate G1 (rightmost trace) are plotted on the same gate axis. We find that both asymmetrically measured traces resemble the shape of the symmetric case. The conductance value of the 0.7 anomaly does not change when shifting the QPC laterally; however, our accuracy of determining it is limited to $G = 0.65 \pm 0.05 \times 2 e^2/h$ due to switching events caused by the more negative gate voltages required for pinch-off in an asymmetric gate configuration. In agreement with previous studies [12-14], we find that the 0.7 anomaly is less pronounced in 2DEGs with high density (here: $n_{\rm S} = 3.5 \times 10^{15} \, {\rm m}^{-2}$) compared to samples with electron densities in the range of $n_{\rm S} \sim 1 \times 10^{15} \, {\rm m}^{-2}$.

In order to compare the results obtained on low-density 2DEGs to the behavior of our system, the temperature and magnetic field dependence is investigated in detail. However, similar to recent work performed on comparable high-mobility 2DEGs [15], we find a suppression of the Hall mobility in the parallel magnetic field, which is accompanied in our devices by a suppression of the QPCs' spin splitting (data not shown). We are hence limited to applying a magnetic field perpendicular to the 2DEG, which should also weaken the 0.7 anomaly by lifting the spin degeneracy. Since the differential conductance is strongly modified by the presence of edge channels in the quantum Hall regime, the filling factor $v_{\rm QPC}$ is obtained from the diagonal voltage drop across QPC1. Figure 4(a) shows the lower part of the pinch-off trace for different temperatures without a magnetic field being applied. As expected, the 0.7 anomaly evolves into a more pronounced shoulder when the temperature is increased from $T = 1.3 \, \text{K}$ (left) to $T = 15 \, \text{K}$ (right). The marked value of $v_{\rm QPC} = 1.3$ (dashed line) corresponds to the conductance of $G = 0.65 \times 2 \, e^2/h$ extracted from figure 3.

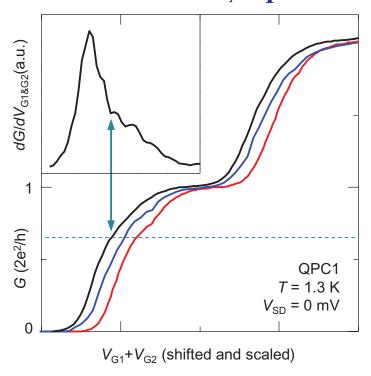


Figure 3. Pinch-off traces for different positions of the QPC. The traces have been shifted and linearly scaled along the gate axis for better comparison. From left to right: simultaneously sweeping $V_{\rm G1} = V_{\rm G2}$; sweeping $V_{\rm G2}$ while $V_{\rm G1} = -1.7$ V; and sweeping $V_{\rm G1}$ while $V_{\rm G2} = -1.7$ V. The 0.7 anomaly appears as a shoulder (change of slope ${\rm d}G/{\rm d}V_{\rm G1\&G2}$, see inset) at $G \approx 0.65 \times 2~e^2/h$. Switching events in the two rightmost traces arise because the more negative gate voltage has to be biased with $V_{\rm G} \lesssim -3$ V in order to pinch off.

By applying a magnetic field perpendicular to the 2DEG, we expect the 0.7 anomaly to be influenced by the increase of both the energetic and spatial separation of the lowest two spin channels. This idea of 'mimicking the 0.7 scenario' was previously investigated in [16], but the interpretation of the data proved difficult due to additional resonances in the pinchoff traces. Figure 4(b) is recorded at $B_{\perp} = 2 \,\mathrm{T}$, where spin-resolved edge channels begin to form at T = 1.3 K. The data show a well-pronounced plateau at $v_{OPC} = 1.3$, which is weakened when the temperature is increased to T > 5 K. Figure 4(c) shows the same measurement at $B_{\perp} = 3$ T, where the edge channels are further separated energetically as well as spatially. Now, the temperature dependence is non-monotonic with the 0.7 anomaly first rising almost to the expected transmission of $v_{QPC} = 1.3$ and then decaying to lower transmission. Data taken at $B_{\perp} = 5 \,\mathrm{T}$ are shown in figure 4(d). The structure is more complex now due to the formation of fractional edge channels and does not show a feature which is unambiguously related to the 0.7 anomaly. The observed shoulders and plateaus wash out, perhaps with the plateau at $v_{\rm OPC} \approx 0.7$ being more resilient than all other features at $v_{\rm OPC} < 2$. The overall dependence of the 0.7 anomaly on the magnetic field is in contrast to observations in two-dimensional hole gases where the 0.7 anomaly was found to evolve into a resonance for a strong perpendicular magnetic field [17]. There, the appearance of a resonance was discussed in view of a quasilocalized state in combination with the Kondo effect.

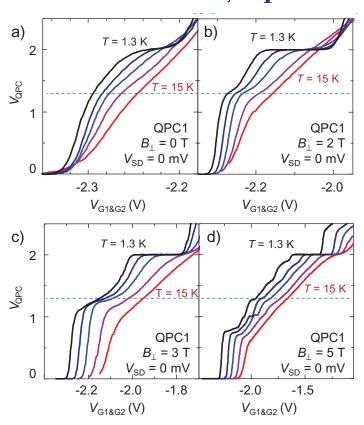


Figure 4. Transmission v_{QPC} through QPC1 measured as a function of symmetrically applied gate bias at different temperatures (from left to right: $T=1.3\,\mathrm{K}/2.5\,\mathrm{K}/5\,\mathrm{K}/10\,\mathrm{K}/15\,\mathrm{K}$). The traces are plotted with horizontal offset for clarity. A dashed horizontal line marks the transmission value of $v_{QPC}=1.3$ associated with the 0.7 anomaly. (a) The 0.7 anomaly becomes more pronounced with increasing temperature. At $T=10\,\mathrm{K}$ the subband quantization is completely washed out but the 0.7 anomaly is still clearly visible. (b) In a magnetic field of $B_\perp=2\,\mathrm{T}$ applied perpendicular to the plane of the 2DEG, the shoulder at $v_{QPC}=1.3$ is well developed at low temperature and shifts to lower transmission with increased temperature. (c) At $B_\perp=3\,\mathrm{T}$, the transmission shows a non-monotonic behavior as a function of temperature. The transmission of the 0.7 anomaly first recovers almost to its zero-field value, then decreases again for $T>5\,\mathrm{K}$. (d) At $B_\perp=5\,\mathrm{T}$, various plateaus related to the transmission of (fractional) edge channels are observed. These features wash out when the temperature is increased.

One possible interpretation of our magnetic field dependence follows the idea of two spin-polarized channels leading to the 0.7 scenario [16]. A moderate magnetic field ($B_{\perp}=2\,\mathrm{T}$) increases the spin polarization, thereby enhancing the 0.7 anomaly. Stronger fields increase the spatial separation between the edge channels, thereby reducing interactions and weakening the 0.7 anomaly. At $B_{\perp}=3\,\mathrm{T}$, the spatial separation can be overcome by increasing the temperature to a value where thermal energy and B-field-induced spin splitting become comparable in magnitude. At even stronger magnetic fields, other many-body effects besides the 0.7 anomaly

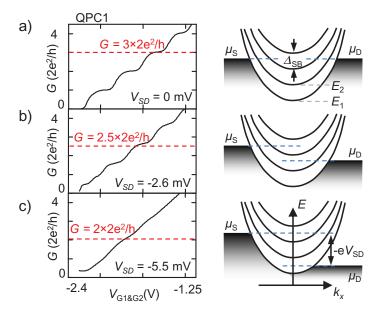


Figure 5. Pinch-off trace (left) and schematic view (right) for different values of the source-drain bias $V_{\rm SD}$. (a) Linear-response regime $V_{\rm SD}=0\,{\rm mV}$. The measured trace displays plateaus at the expected conductance values. The conductance value of $G=3\times 2\,e^2/h$ corresponding to the sketched situation (right) is marked by a dashed line. In the depicted situation the gate voltage is set such that three (spin degenerate) subband bottoms lie below the chemical potential of the source $(\mu_{\rm S})$ and the drain $(\mu_{\rm D})$. The energies of the subband bottoms are labeled E_1, E_2, \ldots (b) Finite bias measurement with one subband bottom in-between $\mu_{\rm S}$ and $\mu_{\rm D}$. The expected half-plateau conductance of $G=2.5\times 2\,e^2/h$ is marked by a dashed line in the experimental trace. (c) Two subband bottoms reside in-between $\mu_{\rm S}$ and $\mu_{\rm D}$. Integer plateau values are expected and can be observed as shoulders in the pinch-off curve.

might become relevant, which makes a detailed interpretation difficult. For future studies it might prove worthwhile to investigate the zero-bias anomaly in the perpendicular magnetic field in order to check if the interpretation of spatially separated edge channels is consistent with other experimental findings.

2.3. Finite bias spectroscopy

Further characterization of QPC1 requires finite-bias measurements, because employing $V_{\rm SD}$ as an energy reference gives access to the QPC's subband spacings [18–21]. Three exemplary gate traces are depicted in figure 5. Figure 5(a) shows the linear-response regime $V_{\rm SD}=0\,\mathrm{mV}$, which is identical to the trace shown in figure 1(a). A sketch of the energy landscape is shown on the right-hand side. The parabolic electron dispersions are energetically separated by the subband spacing $\Delta_{\rm SB}$ due to transversal confinement. In the depicted situation, three subband bottoms reside below the chemical potentials of source and drain, giving rise to a conductance of $G=3\times2\,e^2/h$. Figure 5(b) shows the pinch-off trace for $V_{\rm SD}=-2.6\,\mathrm{mV}$, where plateaus

appear at half-integer values of the conductance. The sketch corresponding to a conductance of $G = 2.5 \times 2 e^2/h$ is shown on the right-hand side: two subbands contribute fully and one subband contributes half to the overall conductance.

The so-called half-plateaus can only be observed in clean samples, presumably because scattering events inside the QPC become more likely when more unoccupied subband states are energetically available at larger source–drain bias. At even higher bias, the conductance is usually obscured by noise [22] or increases/decreases due to various self-gating effects [13, 20]. In QPC1, however, the return of integer conductance quantization for two subband bottoms residing in-between μ_S and μ_D is observable in figure 5(c) at $V_{SD} = -5.5$ mV. We interpret this observation as another result of the cleanliness of the QPC, which reduces the probability for backscattering.

In order to retrieve full information about the confinement potential, the transconductance of QPC1 is plotted in figure 6(a) as a function of $V_{\rm SD}$ and $V_{\rm G1\&G2}$. Integer conductance plateaus without subband minima between $\mu_{\rm S}$ and $\mu_{\rm D}$ (labeled 1, 2, 3) appear as black diamonds around $V_{\rm SD}=0\,{\rm mV}$. Half-plateaus (1.5, 2.5, 3.5) and second order integer plateaus (2, 3, 4) appear in a regular pattern at finite source–drain bias.

In our experience, the higher-order plateaus cannot be observed in samples with mobility $\mu \lesssim 10\,\mathrm{m}^2\,(\mathrm{Vs})^{-1}$ (cf [22]). Comparing our data to those of a defect-free QPC [21] defined in a 2DEG with mobility $\mu = 150\,\mathrm{m}^2\,(\mathrm{Vs})^{-1}$, we find subtle differences in the transconductance pattern. Although the QPC in [21] is measured at a lower temperature ($T = 90\,\mathrm{mK}$) than for our device ($T = 1.3\,\mathrm{K}$), second-order integer plateaus seem to be suppressed as long as no magnetic field is applied perpendicular to the 2DEG. The authors state 'Due to the suppression of backscattering in the presence of a small magnetic field the reappearance of the integer plateaus at high $V_{\rm SD}$ can be clearly observed'. Our QPC is defined in a 2DEG with mobility $\mu \gtrsim 1000\,\mathrm{m}^2\,(\mathrm{Vs})^{-1}$ and second-order integer plateaus are clearly resolved at $B_\perp = 0\,\mathrm{T}$. These observations indicate that even though the mean free path of the electrons is much larger than the length of the QPC in both cases, a higher electron mobility still manifests itself as reduced backscattering in the regime of nonlinear conductance.

As seen from the sketches in figure 5, the maximum extent of the diamonds in $V_{\rm SD}$ corresponds to the energy spacing $\Delta_{\rm SB}$ of the involved QPC subbands. The whole pattern of transconductance diamonds is sheared with features at positive $V_{\rm SD}$ shifted by about 5% to a more positive gate voltage than in a perfectly symmetric configuration. This asymmetry could hint at a slight asymmetry of the QPC's coupling to source and drain, but might also be explained by a gradual drift of the local potential over the measurement time of 21 h.

The effect of V_{SD} on the confinement potential, so-called self-gating, manifests itself as a deviation from a pattern of straight lines [13]. From figure 6(a), it appears that self-gating plays an important role mainly close to pinch-off (white dashed line) and perhaps at very large V_{SD} , where clear quantization is no longer observed. Since self-gating appears not to dominate the shape of the transconductance pattern, it is possible to learn more about the confinement potential by comparing the position of transconductance nodes in figure 6(a). Three exemplary nodes are highlighted by white circles. They correspond to the resonance conditions (from top to bottom) ($\mu_S = E_4$, $\mu_D = E_6$), ($\mu_S = E_5 = \mu_D$) and ($\mu_S = E_6$, $\mu_D = E_4$), respectively. The fact that these resonance conditions occur at almost the same gate voltage (along a straight line) means that the subband spacings $\Delta_{45} = E_5 - E_4$ and $\Delta_{56} = E_6 - E_5$ are very similar at this gate voltage (compare sketches in figure 5). Therefore the transversal confinement can be well described by a harmonic potential. If the confinement potential were, for example, a square

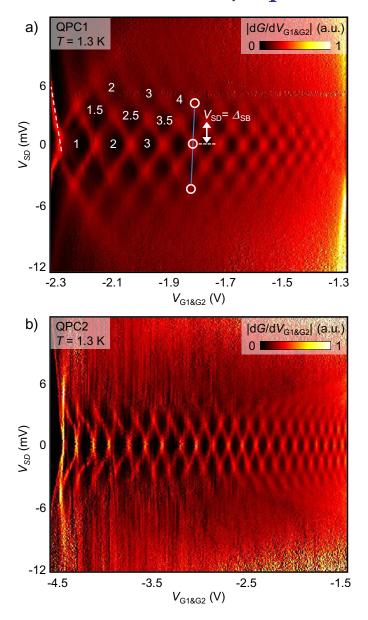


Figure 6. (a) Transconductance $G_{\rm TC} = {\rm d}G/{\rm d}V_{\rm G1\&G2}$ of QPC1, plotted as a function of source–drain bias $V_{\rm SD}$ and voltage $V_{\rm G1\&G2}$ applied to gates G1 and G2. Plateaus in the differential conductance of $G=1,2,3,\ldots\times 2\,e^2/h$ appear as black diamonds centered around $V_{\rm SD}=0\,{\rm mV}$. Their extent in $V_{\rm SD}$ corresponds to the subband spacing $\Delta_{\rm SB}$. Higher-order half-plateaus ($G=1.5,2.5,3.5,\ldots\times 2\,e^2/h$) and second-order integer-plateaus ($G=2,3,4,\ldots\times 2\,e^2/h$) appear as black diamonds at finite source–drain bias. (b) Transconductance of QPC2. Integer plateaus around $V_{\rm SD}=0\,{\rm mV}$ are resolved. For gate voltages $V_{\rm G1\&G2}\lesssim -2.5\,{\rm V}$, higher-order plateaus are obscured by noise.

well, the subband spacings would increase with higher mode number and hence the higher-order modes would occur at a more positive gate voltage than the linear response node.

In comparison to the clean and regular pattern of QPC1, figure 6(b) shows the transconductance of QPC2. Higher-order plateaus are visible for $V_{G1\&G2} \gtrsim -2.5 \text{ V}$ but are

obscured by noise at more negative bias, which is usually related to tunneling events from the gates into the doping layer [23]. Furthermore, the shape of the five leftmost diamonds is distorted with the upper and lower tips being shifted to more negative gate bias. This shift, as well as the curvature of the plateau borders, follows the dependence observed in quantum wires and arises from the requirement of satisfying charge neutrality with a one-dimensional density of states while applying a finite source–drain voltage [24]. The quantum wire-like characteristic is consistent with the geometry of the gates, which should create a channel that is longer than the screening length of the 2DEG (compare the inset of figure 1(b)). It is noteworthy that also in the quantum wire-like QPC2, we observe a well-pronounced half-plateau related to the 0.7 anomaly which resembles the features observed in [24]. Since we do not observe defect-related resonances in QPC2, the design might be extended to even longer gate-defined quantum wires [25–27] in order to study the length dependence of the 0.7 anomaly. However, the observation of diffusive transport [26] in $L_{\rm OWR} \geqslant 5 \,\mu{\rm m}$ long quantum wires (with the mean free path in the 2DEG being $L_{\rm MF} \sim 40 \,\mu{\rm m}$) suggests that gate-defined quantum wires might not profit from an increased free electron mobility at least if split-gate technology is used for confinement.

2.4. Extracting the QPCs' shape parameters

As discussed earlier, the transconductance plot can now be used to reconstruct the confinement potential. The subband spacing can be determined from the $V_{\rm SD}$ position of the borders of the transconductance diamonds [20]. Due to the finite resistance of the leads $R_S = 400 \Omega$, a fraction of the applied dc-bias $V_{\rm SD}$ does not drop at the QPC. Using $R_{\rm S}$ and the measured four-terminal conductance G, this is taken into account via $\Delta_{SB} = V_{SD}/(1+GR_S)$. The thereby determined subband spacings are plotted as a function of $V_{G1\&G2}$ in figure 7(a) for QPC1 (left) and QPC2 (right). The subband spacings increase monotonically with more negative gate bias, indicating that the confinement potential becomes narrower and steeper while approaching pinch-off. This trend has been observed previously [20] and can be explained by the reduced influence of screening on the confinement potential when the local electron density is reduced [28]. Since the higher-order plateaus indicate that the confinement potential of QPC1 has a close to harmonic shape, we can now use the measured subband spacings to apply Büttiker's saddlepoint model [29] to our linear response data and extract all parameters of the potential profile at the constriction. Since QPC2 shows quantum wire-like transport characteristics, the model is not expected to reflect the exact potential shape of QPC2, but should still give qualitatively meaningful results. Temperature broadening is not accounted for in this model since the subband-spacings $\Delta_{\rm SB} > 1$ meV are much larger than the thermal broadening $k_{\rm B}T \approx 0.1$ meV.

Neglecting inter-mode scattering and including spin degeneracy, the transmission of the *n*th subband is given by

$$T_n = 2/(1 + \exp(-\pi \varepsilon_n/\hbar \omega_X)) \tag{1}$$

with the energy of the nth subband

$$\varepsilon_n = 2(\hbar\omega_Y (n+1/2) - E_{\rm CB}). \tag{2}$$

The gate dependence $\omega_Y(V_{\text{G1\&G2}})$ is known from figure 7(a); the gate dependence of the conduction band bottom $E_{\text{CB}}(V_{\text{G1\&G2}})$ is approximated by $E_{\text{CB}}(V_{\text{G1\&G2}}) = E_0 + \alpha \times V_{\text{G1\&G2}}$ for each conductance step with the lever arm α converting gate voltage to energy. Usually, the lever arm can be determined by taking the source–drain bias as an energy reference and comparing

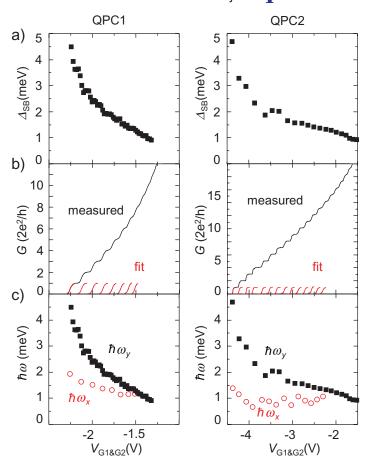


Figure 7. (a) Subband spacings Δ_{SB} of QPC1 (left) and QPC2 (right), as determined from finite bias transport measurements. With more negative gate voltage, the subband spacings increase. (b) Differential conductance, plotted as a function of gate voltage. The measured curve (black) can be fit by assuming a saddle-point potential and calculating the transversal harmonic confinement potential from the subband spacing in (a). The resulting fit for each step is plotted at the bottom. (c) Subband spacing of the transversal (squares) and longitudinal (circles) confinement potential, as extracted from the fits in (b). Approaching pinch-off, QPC1 (left) becomes much narrower but only slightly shorter. The longitudinal curvature of QPC2 (right) is smaller than that of QPC1, which is in agreement with the lithographic dimensions of the gates.

it to the gate dependence of a given transport resonance [30]. But as shown in figure 7(a), the gate voltage not only lifts $E_{\rm CB}$ but also increases the subband spacing, giving rise to a seemingly increased lever arm. Knowing that the confinement potential is harmonic enables an alternative way to determine α . At the position of the conductance steps in figure 7(a) (at $G = (n-0.5) \times 2e^2/h$), the conduction band bottom is $E_{\rm CB} = (n-0.5) \hbar \omega_Y$ below the Fermi energy. Hence, the lever arm in-between two successive steps is given by

$$\alpha = (n+1-1/2) \hbar \omega_{Y_{n+1}} - (n-1/2) \hbar \omega_{Y_n}$$
(3)

with $\omega_{Y,n}$ being the confinement at the *n*th step as extracted from figure 7(a). Now the only fitting parameters are the longitudinal curvature ω_X and the energy offset E_0 .

Figure 7(b) shows the pinch-off curve of QPC1 (left) and the fits resulting from the described procedure. All fits are plotted below $G = 2e^2/h$ for clarity. The same procedure applied to QPC2 is shown on the right hand side. Figure 7(c) shows the extracted values for the longitudinal curvature (circles) of QPC1 (left) and QPC2 (right). The transversal curvature is plotted as squares for comparison. In both QPCs, ω_X is smaller than ω_Y , as required for the observation of conductance quantization [29]. Approaching pinch-off, QPC1 becomes much narrower (strong increase of $\hbar\omega_Y$) and slightly shorter (increase of $\hbar\omega_X$). QPC2 also becomes much narrower but there is no strong increase of $\hbar\omega_X$. Although the saddle-point model might not be the ideal model for a quantum wire, this fits the intuitive picture of a one-dimensional channel with a lithography-defined length and voltage-controlled width.

Comparing the parameters obtained from our analysis to those from earlier investigations, we find surprising discrepancies despite similar 2DEG density and gate spacings. The data analyzed in [31] are well described by $\hbar\omega_Y = 0.9 \,\mathrm{meV}$, $\hbar\omega_X = 0.3 \,\mathrm{meV}$ with both values being independent of gate voltage. In our devices, the shape of the confinement potential changes dramatically as a function of gate voltage and reaches oscillator strengths of $\hbar\omega_Y > 4 \,\mathrm{meV}$, $\hbar\omega_X > 1 \,\mathrm{meV}$ close to pinch-off. We interpret this observation as the result of the screening properties of the screening layers that are grown into the heterostructure in order to achieve ultrahigh electron mobilities [6–8]. Screening should reduce the range of the gate-induced potential and thereby increase the slope of the confinement potential.

2.5. Spin-resolved transport at low temperatures

Additional changes in the confinement can be created by applying a magnetic field B_{\perp} perpendicular to the plane of the 2DEG, which lifts the spin degeneracy and increases the subband spacing [1, 9, 12, 21, 32]. QPC3 was not equipped with a 2DEG terminal that could be used to measure the diagonal voltage, so the filling factor of the QPC v_{OPC} is calculated from the longitudinal four-terminal resistance: in analogy to magnetotransport through a barrier [33], $v_{\rm QPC}$ relates via $R_{\rm QPC} \times e^2/h = 1/v_{\rm QPC} + 1/v_{\rm Bulk}$ to the number of occupied Landau levels in the bulk $v_{\text{Bulk}} = n_{\text{S}} h/e B_{\perp}$. Knowing the electron density n_{S} and Planck's constant h, the measured four-terminal resistance $R_{\rm OPC}$ can be directly converted to $\nu_{\rm OPC}$. Figure 8(a) shows data of QPC3 (split-gate gap $w = 250 \,\mathrm{nm}$), measured at a temperature of $T = 0.1 \,\mathrm{K}$ with $B_{\perp} = 2 \,\mathrm{T}$. Plotting v_{QPC} as a function of $V_{G1\&G2}$ reveals integer filling factors related to the magnetoelectric subband spacing ($v_{QPC} = 2$, $v_{QPC} = 4$) but also smaller plateaus due to the lifted spin degeneracy ($\nu_{OPC} = 3$, $\nu_{OPC} = 5$). The energy diagram corresponding to $\nu_{OPC} = 3$ is shown on the right-hand side. The lowest spin-split plateau is obscured by the 0.7 anomaly [12]. The same trace repeated at finite source-drain bias $V_{\rm SD}=2\,\mathrm{mV}$ is shown in figure 8(b). Additional halfplateaus with $v_{QPC} = 2.5$ and $v_{QPC} = 3.5$ appear which correspond to a situation as depicted to the right: one spin-split mode is situated in-between μ_S and μ_D . The 0.7 anomaly has evolved into a plateau with $v_{OPC} = 1.8$. Figure 8(c) shows a false color plot of the transconductance $G_{\rm TC} = {\rm d}G/{\rm d}V_{\rm G1\&G2}$, plotted as a function of $V_{\rm SD}$ and $V_{\rm G1\&G2}$. Similar to the transconductance plots in figures 6, regions of integer filling factor appear as black diamonds. Due to the different sizes of the subband-split levels (labeled 2, 4 and 6) and the spin-split levels (3 and 5), the half-plateaus at finite V_{SD} appear as black stripes. A clear deviation from the regular even-odd pattern is observed at low filling factors, where $v_{QPC} = 0.5, 1, 1.5$ are replaced by a $v_{QPC} = 1.8$ plateau related to the 0.7 anomaly.

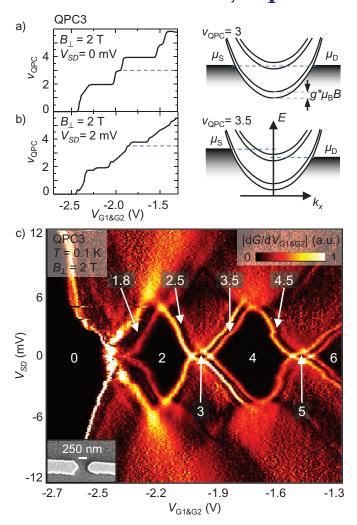


Figure 8. (a) Filling factor $\nu_{\rm QPC}$ of QPC3 (split-gate gap $w=250\,{\rm nm}$), plotted as a function of $V_{\rm G1\&G2}$. Measured at a temperature of $T=100\,{\rm mK}$, the spin degeneracy is lifted by a magnetic field $B_{\perp}=2\,{\rm T}$ applied perpendicular to the plane of the 2DEG. The situation corresponding to $\nu_{\rm QPC}=3$ is sketched on the right hand side: three non-degenerate subbands reside below the chemical potentials of the source and drain. (b) Filling factor of QPC3 as a function of $V_{\rm G1\&G2}$ while a source–drain bias of $V_{\rm SD}=2\,{\rm mV}$ is applied. Filling factors $\nu_{\rm QPC}=2.5$ and $\nu_{\rm QPC}=3.5$ are observed when one spin-split level lies inbetween $\mu_{\rm S}$ and $\mu_{\rm D}$ (sketched on the right-hand side). (c) False-color plot of the transconductance $G_{\rm TC}={\rm d}G/{\rm d}V_{\rm G1\&G2}$ of QPC3 (inset), plotted as a function of $V_{\rm SD}$ and $V_{\rm G1\&G2}$. Regions of integer filling factor $\nu_{\rm QPC}=2,3,4,5,6$ appear as black diamonds centered around $V_{\rm SD}=0\,{\rm mV}$, half plateaus with $\nu_{\rm QPC}=2.5,3.5,4.5$ appear as black stripes at finite source–drain bias. The 0.7 anomaly creates a plateau with $\nu_{\rm QPC}=1.8$.

From the extent of the spin-split plateaus the exchange-enhanced g-factor g^* can be extracted via $V_{\rm SD} = \Delta_{\rm Spin} = g^* \mu_{\rm B} B_{\perp}$, where g^* is the effective g-factor and $\mu_{\rm B}$ the Bohr magneton. Compared to the bare g-factor of GaAs (g = -0.44), we find a strongly enhanced

 $g^* = 4.4$ at $v_{\rm QPC} = 3$ and $g^* = 3.8$ at $v_{\rm QPC} = 5$. Similar to the findings of Thomas *et al* [12], g^* increases with lower mode number. However, the magnitude of the exchange enhancement is different: $0.4 < g^* < 1.3$ was reported by Thomas *et al*, while our results indicate a much stronger enhancement. Assuming that disorder reduces the effectiveness of the exchange enhancement, the observation of strongly enhanced g-factors can be interpreted as another manifestation of good sample quality.

3. Conclusion

In conclusion, we investigated the transport properties of two differently shaped constrictions that were defined within a high-mobility 2DEG. Transport spectroscopy in the linear response regime demonstrates that conductance quantization is observed and that no scattering centers are found when shifting QPC1 between the gates. The 0.7 anomaly is investigated by varying the temperature and by applying a magnetic field perpendicular to the 2DEG. Depending on the ratio of these two parameters we observe either a weakening or an enhancement of the 0.7 anomaly, which is discussed in view of spin-polarized edge channels mimicking the 0.7 scenario. Measurements at finite source-drain bias give access to the subband spacings of the QPC and reveal that QPC1 is described best by a short constriction, whereas QPC2 shows quantum wire-like characteristics. In addition to QPCs defined in standard 2DEGs, resonances at large bias are observed in QPC1 that correspond to higher-order transport conditions. These higher-order resonance conditions give valuable information about the shape of the confinement potential and enable the reconstruction of the full saddle-point potential in the constriction. Knowing the shape (and hence the slope) might prove important for the investigation of manybody states such as the 0.7 anomaly or the transmission of fractional quantum Hall states. At finite magnetic field and lower temperature, a strongly exchange-enhanced g-factor is observed, which we interpret as the result of a very smooth confinement potential. While measurements on QPCs are possible with a high signal-to-noise ratio if the gate voltages are always swept in the same regime and direction, it was not possible to form a stable quantum dot with the same technique on the same wafer. For future interferometer experiments on high-mobility samples in the fractional quantum Hall regime, it is desirable to prepare split-gate electrodes on highmobility wafers that do not rely on screening electrons at the X-valley and thereby allow stable gate operation.

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